

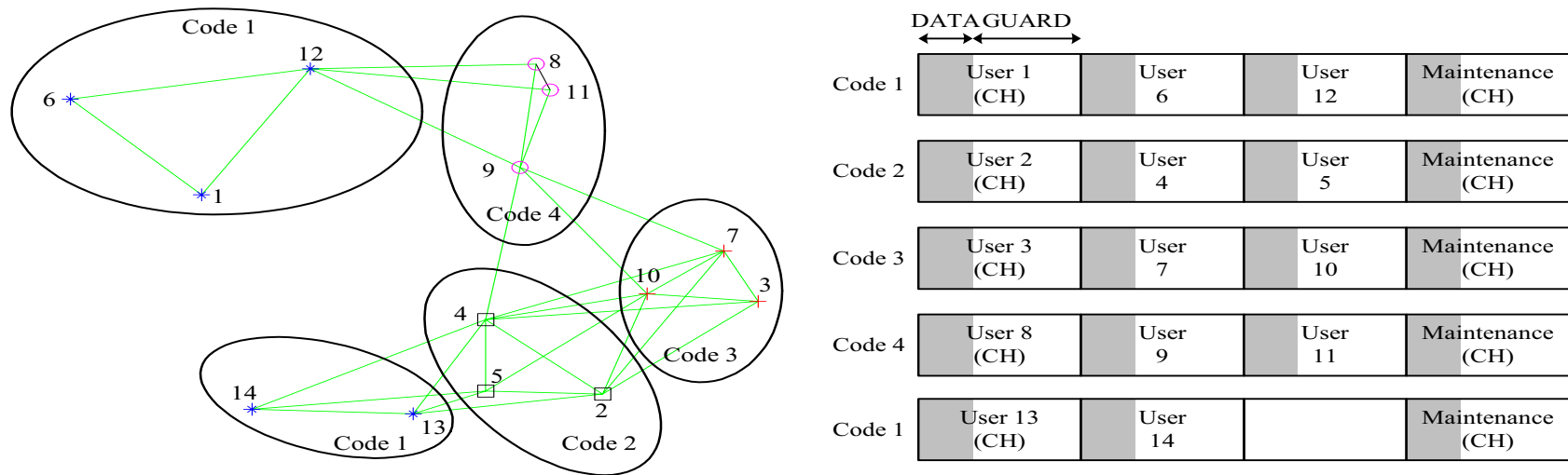
Multi-user Acoustic Communications:

CDMA Receiver Design
and
Experimental Demonstration

Milica Stojanovic
millitsa@mit.edu

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Motivation



- DS-SS offers a platform for scalable acoustic networking using CDMA (multipath diversity, soft capacity, LPI/LPD)
- Several autonomous users, few kilometers
- Goal: wideband acoustic CDMA system design and demonstration (multichannel adaptive detection)

Overview

- Problem formulation:
 - existing solutions for multiuser detection in time-varying multipath channels (radio)
 - limitations for use in underwater acoustic channels
- Detection algorithms:
 - Symbol Decision Feedback (SDF)
 - Chip Hypothesis Feedback (CHF)
- Experiment: AOFNC (Elba, Italy, 2003)
 - 4 users, 2 km
 - 20 kchip/sec, QPSK, varying spreading factor

Problem formulation

- DS-SS: one symbol= L chips ($L=T/T_c$ is spreading factor)
- Existing radio systems:
 1. interchip interference exists, but intersymbol interference can be neglected ($T_m \ll T$)
 2. time-variation negligible, phase stable over one symbol ($f_d T \ll 1$)
- Conventional system design:
despreading for multiuser interference suppression
- Wideband radio systems (1 no longer holds, but 2 does):
 - include equalization for multipath interference suppression
 - adaptive filtering at symbol rate

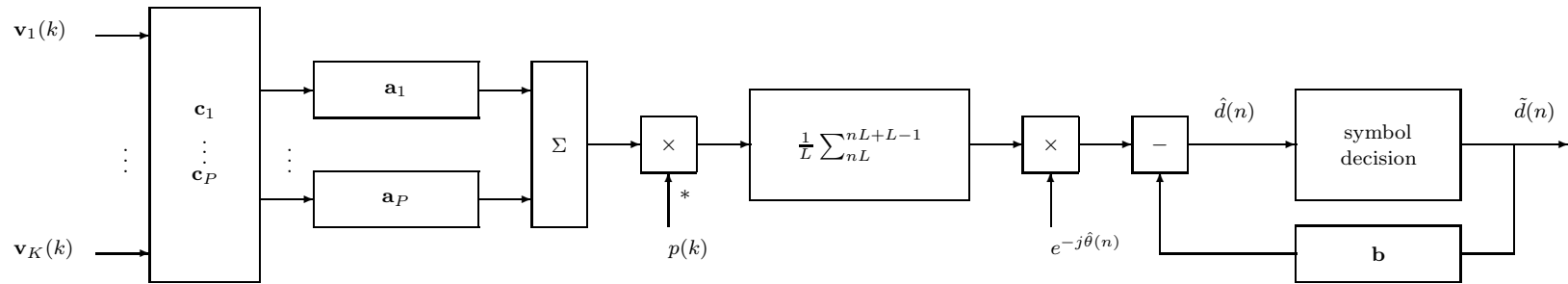
Wideband acoustic system design

- Full bandwidth utilization:
 - transmit at maximal chip rate ($R_c \sim B$, $T_c = 1/R_c$ is fixed)
 - choose spreading factor L to support desired number of users, but maximize data rate ($R = R_c/L$ is downscaled)
- $B \sim 10 \text{ kHz} \rightarrow L \sim \text{few tens}$
- Multipath ($T_m \sim 10 \text{ ms}$):
 - spans tens of chips, exceeds symbol duration
 - necessitates decision-feedback equalization
- Time-variability ($f_d = f_c (v/c)$, $v \sim \text{few m/s}$):
 - e.g., $f_c = 30 \text{ kHz}$, $R_c = 20 \text{ kchip/sec} \rightarrow f_d T \sim 0.001 vL$
 - time-variation not negligible, phase not stable over one symbol (neither assumption holds)

Detection algorithms

- Single-user detection (low complexity)
- Multichannel (array) processing: many-to-few combiner
[Stojanovic et al., “Reduced-complexity multichannel processing...,” JASA, Aug. '95]
- Decision-feedback equalization:
 - feedforward filtering: chip resolution
 - feedback filtering: symbol/chip resolution
 - adaptation rate: symbol/chip

Receiver design: Symbol Decision Feedback (SDF)

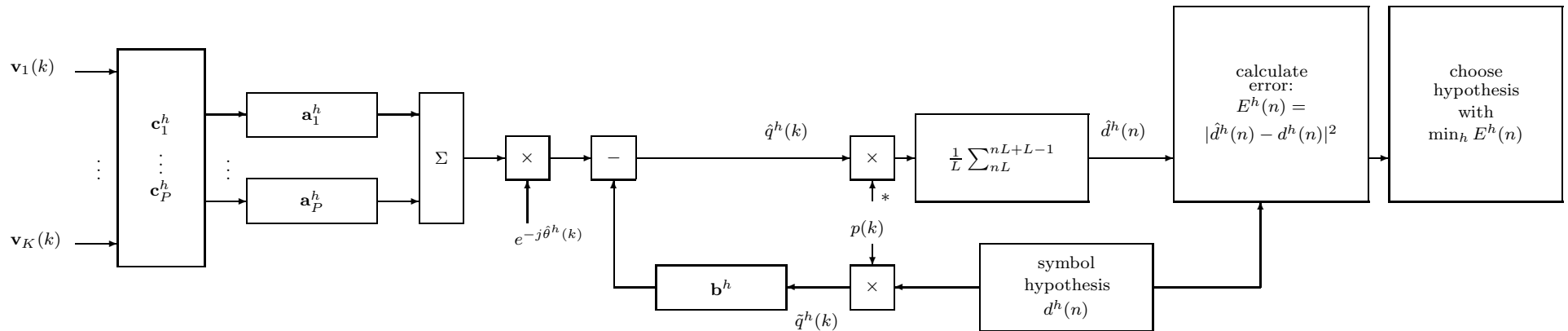


- Related work: [Abdulrahman et al., “Decision feedback... indoor wireless communications,” IEEE JSAC, May '94]
- Fractionally (chip) spaced feedforward filter, symbol-spaced feedback filter ($M \sim T_m/T$)
- MMSE adaptation driven by symbol error $[d(n) - \hat{d}(n)]$:
combiner, equalizer, phase estimator (RLS/LMS/DDPLL2).
- SDF: low complexity (+) slow tracking (-)

Performance vs. spreading factor

- Problem:
 - When L increases, and B is fixed, symbol duration increases.
 - Longer symbol, greater channel variation over it, worse performance of symbol-rate tracking.
- Performance should not deteriorate when spreading factor is increased. (On the contrary, it should improve).
- Solution: chip-rate tracking.

Receiver design: Chip Hypothesis Feedback (CHF)

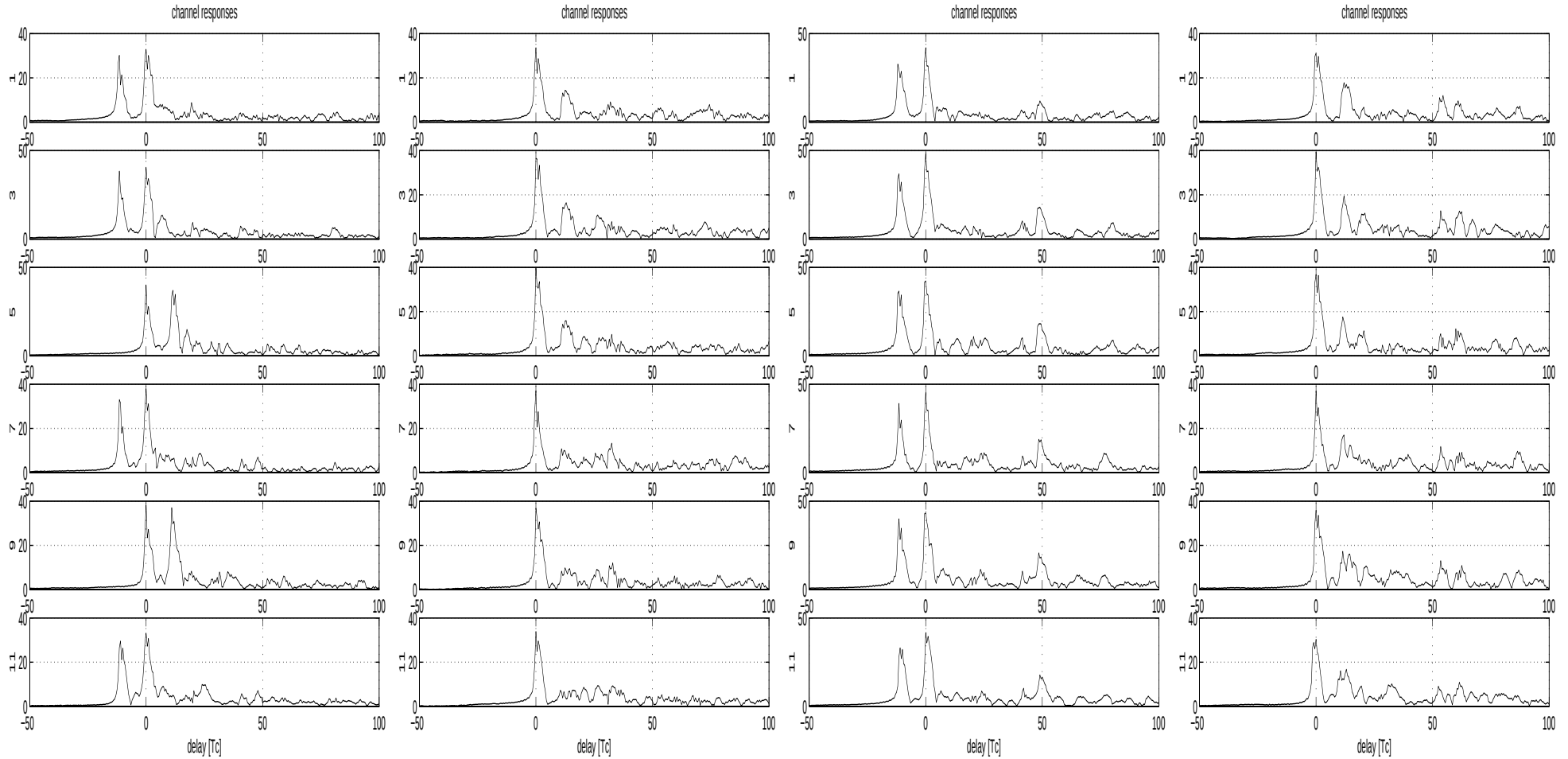


- Related work: [Stojanovic and Freitag, “Hypothesis-feedback... underwater acoustic communications” Proc. Oceans ’00.]
- Fractionally (chip) spaced feedforward filter, chip-spaced feedback filter ($M \sim T/T_c$)
- MMSE adaptation driven by chip error $[q(n) - \hat{q}(n)]$: combiner, equalizer, phase estimator (LMS/LMS/DDPLL2).
- CHF: higher complexity (-) fast tracking (+)

Experiment

- Four users, QPSK modulation
- Chip rate 19.2 kchip/sec, root raised cosine shaping (0.25)
- Kasami spreading codes $L=15, 63, 255$
- Per-user data rate: 2500, 600, 150 bps
- Aggregate throughput: 10, 2.4, 0.6 kbps
- Carrier: 33 kHz, bandwidth 21-45 kHz
- Sampling rate: 96 kHz (Matlab wave files)
- Elba '03: sequential transmission, same location, no mobility
- Range 2.3 km, depth ~ 100 m
- Rx array: 12 elements (0.15 m), at 30 m

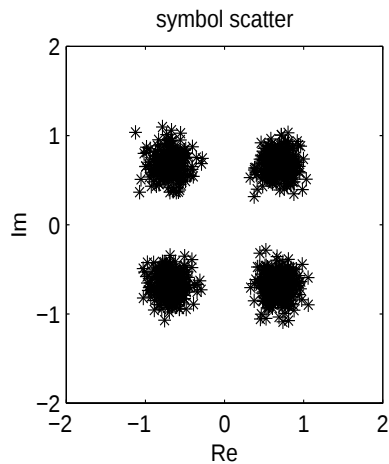
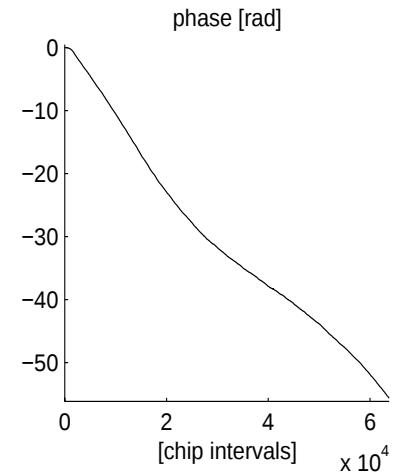
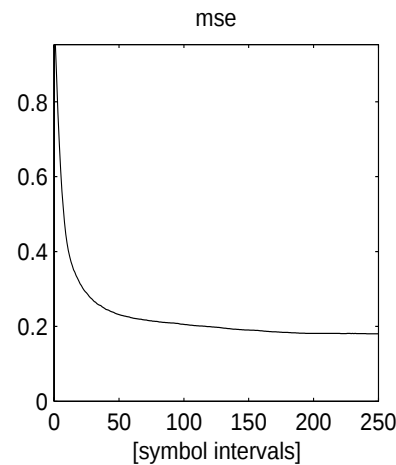
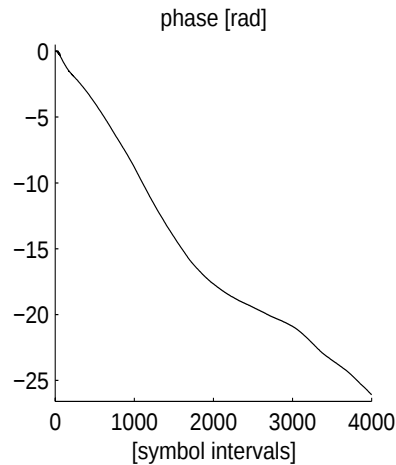
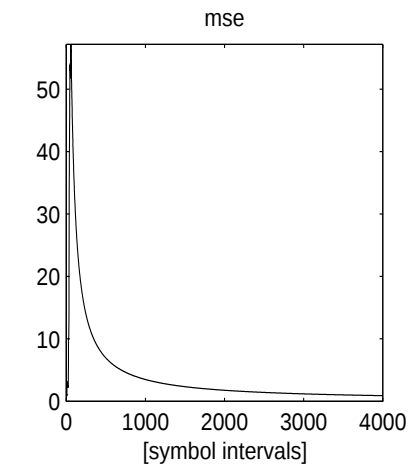
Channel response in space and time



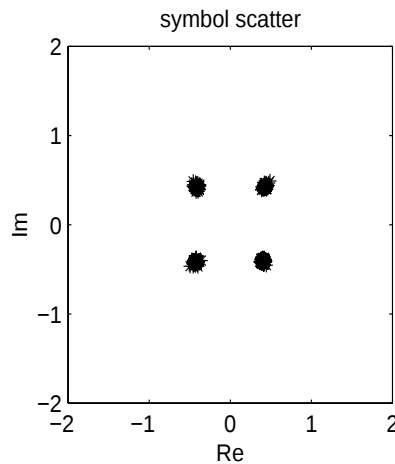
Estimates are obtained by matched filtering to the frequency sweep channel probe.

Snapshots are taken at 0.3 m (vertical spacing) and 24 s (time between packets).

Performance illustration: SDF at L=15 and CHF at L=255



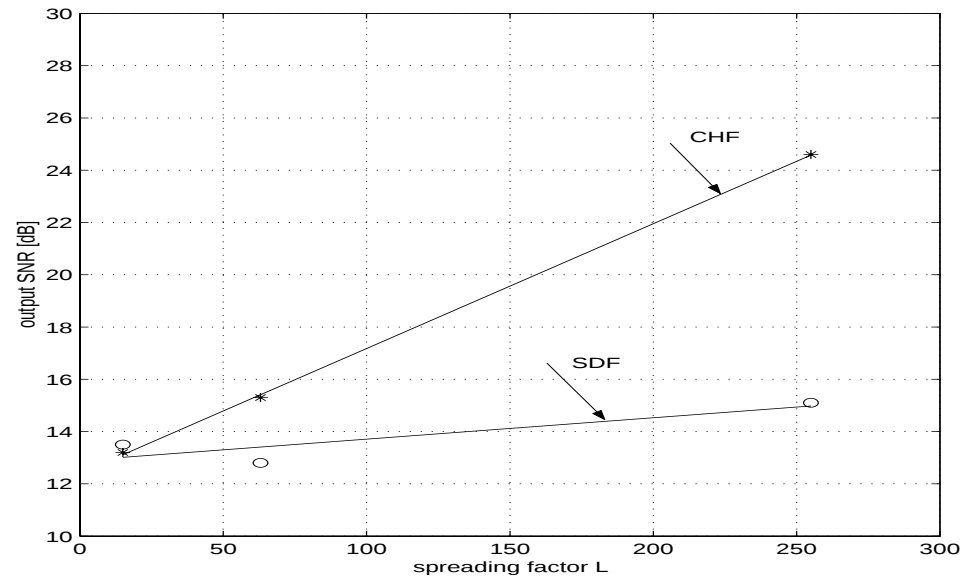
symbol decision feedback receiver:
 $N_c=15$ chips/symbol
 $K=12$ $P=4$ channels
 $N=6$ $M=2$ (equalizer taps)
LMS step size (equal.)= 0.005
RLS forgetting factor (comb.)= 0.992
 $Kf_1=0.0075$ $Kf_2=0.00075$
 $N_t=160$ symbols
 $f_d=-1.2941$ Hz
 $P_e \sim 0$
 $SNR_{out} \sim -13.6093$ dB



chip hypothesis feedback receiver:
 $N_c=255$ chips/symbol
 $K=12$ $P=2$ channels
 $N=28$ $M=30$ (equalizer taps)
LMS step size (equal.)= 0.0005
LMS step size (comb.)= 0.05
 $Kf_1=0.0005$ $Kf_2=5e-005$
 $N_t=10$ symbols
 $f_d=-2.5413$ Hz
 $P_e \sim 0$
 $SNR_{out} \sim -24.6575$ dB

All the users have equal power. Interferers arrive asynchronously (at 8, 4, 2 chips delay).

Performance summary



- Wideband acoustic communications ($R_c=B$): Trade-off between processing gain and tracking capability in classical design (SDF) can be eliminated by chip-rate adaptive processing (CHF).
- Good performance of both receivers owes to the use of multi-channel combining.

Conclusions

- **Experimental results:**

- Small number of users (4) well supported at minimal bandwidth expansion ($L=15$) using simple SDF.
- At high bandwidth expansion ($L=255$), CHF offers large processing margin necessary for LPI/LPD applications.

- **Real-time implementation in next generation acoustic modems with CDMA:**

- Single-user detection with multichannel (array) combining.
- Use SDF when channel variation is tractable at symbol rate (low L). SDF has minimal implementation complexity.
- Use CHF when channel coherence exceeds symbol duration, but chip-rate tracking is possible (large L). CHF complexity increases linearly with modulation level.

- **Future work:** acoustic CDMA communications experiment to include mobility and spatial separation of users.
- **Documentation:** [M.Stojanovic and L.Freitag, “Multichannel Detection for Wideband Underwater Acoustic CDMA Communications,” *submitted to* IEEE J. Ocean Eng., November 2004.]