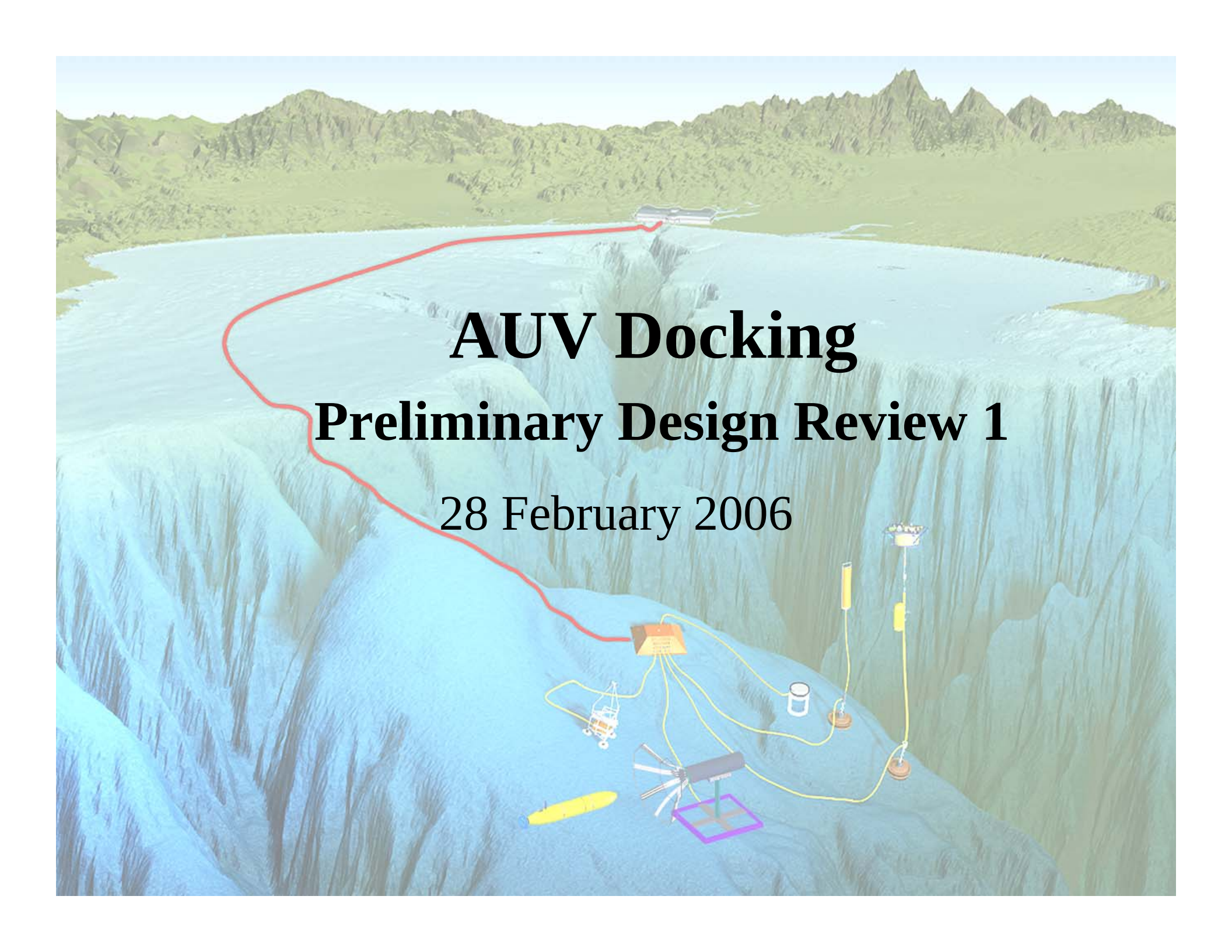


The background of the slide is a 3D bathymetric map of a deep-sea environment. It features a large, light blue canyon-like structure in the foreground and middle ground, with steep, textured walls. In the distance, there are green, mountainous landmasses under a clear sky. A red line traces a path from a small, dark, rectangular structure on the distant shore, across the water, and down into the canyon. In the lower right foreground, various scientific instruments are depicted: a yellow AUV (Autonomous Underwater Vehicle) is on the seafloor; a purple square frame with a crosshair is also on the seafloor; a white cylindrical sensor is on a small stand; and a yellow vertical pole with a sensor at the top is positioned further back. Yellow cables connect these instruments to a central orange rectangular control unit. The text "AUV Docking" is written in a large, bold, black serif font, with "Preliminary Design Review 1" in a slightly smaller, bold, black serif font directly below it. The date "28 February 2006" is centered below the title in a black serif font.

AUV Docking

Preliminary Design Review 1

28 February 2006

AUV Docking Team (2006)

- PI: Jim Bellingham
- PM: Brett Hobson
- ME: Jon Erickson, Farley Shane
- Controls E: Rob McEwen
- EE: Lance McBride, Thomas Hoover
- AUV E: Hans Thomas
- External Advisor: Steve Rock (Stanford)

What are we doing today?

- Update on overall strategy
- Reviewing proof-of-concept design
 - Presentation
 - Request for Action sheets
 - Detailed exchange off-line

Project Goals

- To make our AUVs part of Seafloor Observatory systems.
 - Use to provide event response capability.
 - Use to reduce the cost of time-series operations by avoiding ship costs and schedules.
 - Use to provide survey presence in otherwise unobservable regions.

Background

- Cabled observatory movement growing
 - Observatories installed or funded include LEO-15, MVCO, MARS, Antarctic, Aloha, VENUS, NEPTUNE Canada, NEMO-SN1, and more on the way...
 - NEPTUNE, ESONET, and Japanese initiatives...
- Docking competition real
 - Shallow water dock under development for Navy SEAL delivery vehicle, with plans for use in MVCO.

Strategy

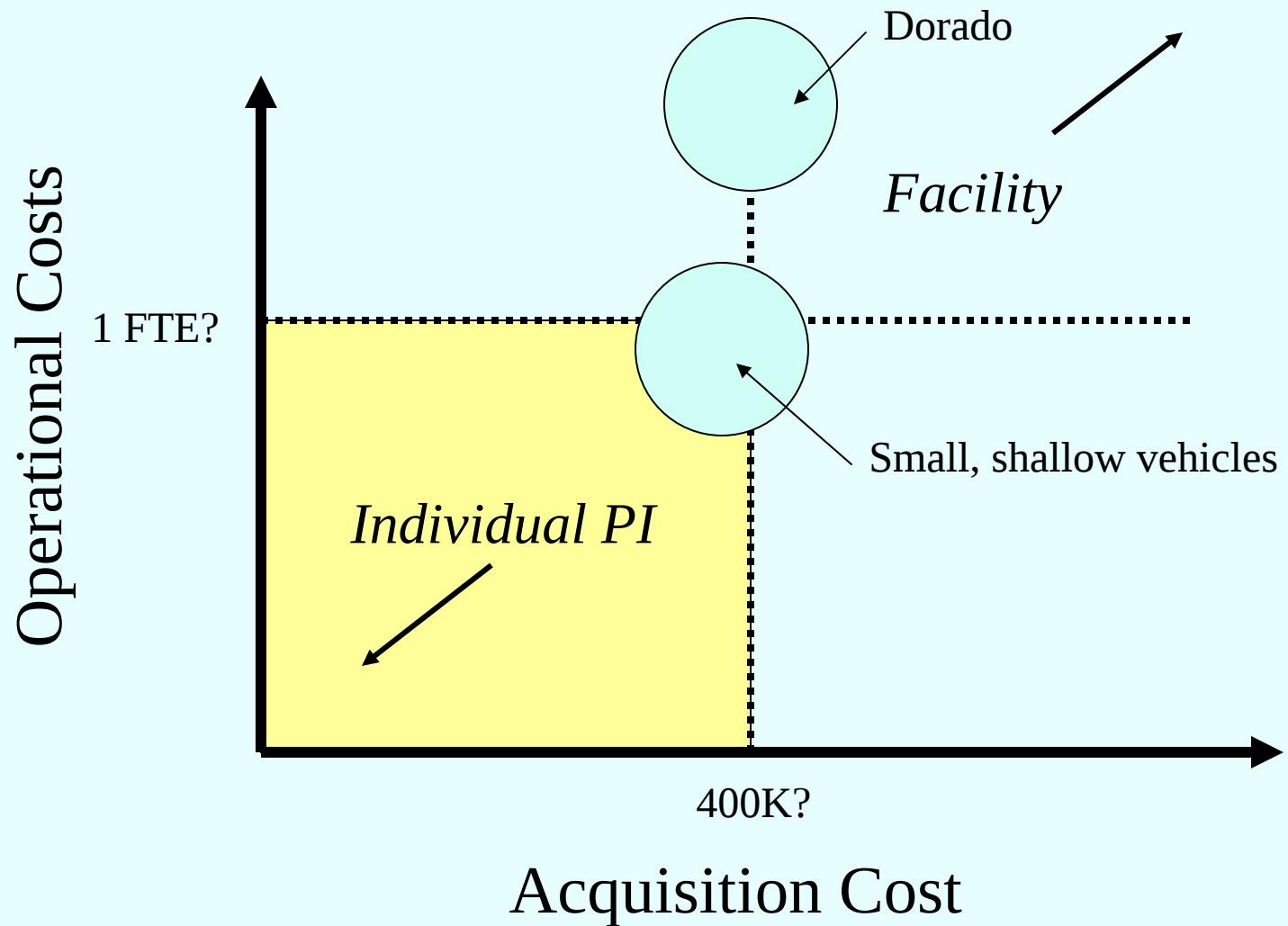
- Build on successful elements of prior docking efforts.
- Buy don't build, when possible.
- Work with existing AUV.
- Demonstrate capability early.
- With experience will likely come a refined understanding of what a docking AUV & dock need to do.
- Have system adopted by larger community.

Where are we?

- Project renewed this year – two months into three year effort.
- Year 1: demonstrate docking in shallow water (use MISO)
- Year 2: put docking capability on MARS.
- Year 3: put docking on MOOS.

After year 2, it should be possible to take a docking system to other cabled observatories.

Cost Factors



Tech Transfer

- Demonstrate a operational docking capability.
- Keep dock simple and cheap.
 - Low buy-in cost ($\ll$ AUV)
 - Get dock installed early and often.
- Build consortium with science operators of Dorado vehicles.
- Work with cabled observatory installers to ensure compatibility.
- Represent docking capability to ORION community.
- Offload docking system to some other entity (Commercial? Observatory facility operator?)
- Generate some positive publicity.

Proof of Concept PDR-1

- Present overall design
- Review what options were considered
- Look at proposed design in detail
- Address PDR Issues
- Describe Test and Deployment Plan
- Questions

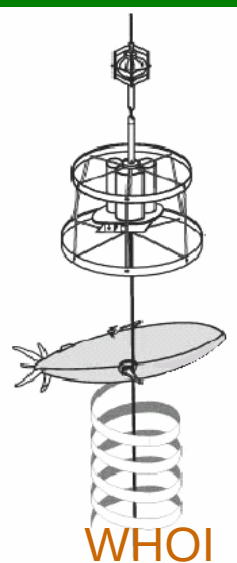
Progress to date

- Surveyed previous AUV Docking Concept demonstrations to extract what worked and what didn't
- Analyzed candidate missions and the economics of docking
- Looked at the technology options for the key components:
 - Dock Design
 - Homing System
 - Power and Data Transfer Systems
 - Homing and docking control software
- Constructed a dedicated Docking AUV, using existing components, and performed acoustic homing tests with a COTS USBL (demo unit)
 - We demonstrated homing from 2 km to within 30 cm of a Homer transponder
- Designed a proof of concept docking system for experiments in shallow water

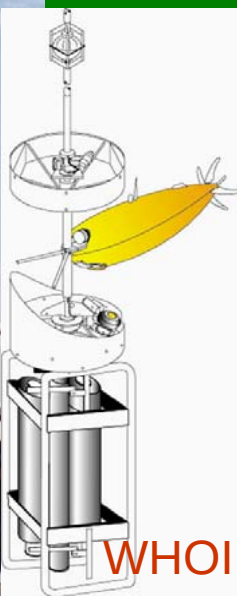
Previous Dock Concepts



WHOI



WHOI



WHOI



REMUS



MIT Sea Grant



FAU



EDC



EDC



ALIVE



Eurodocker



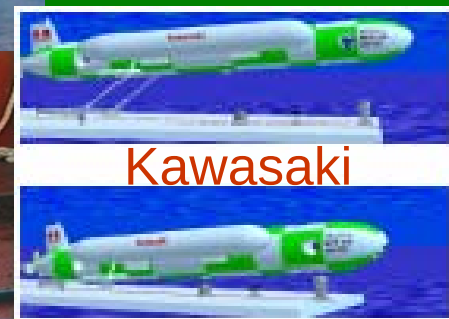
KRISO-KORDI



NRaD



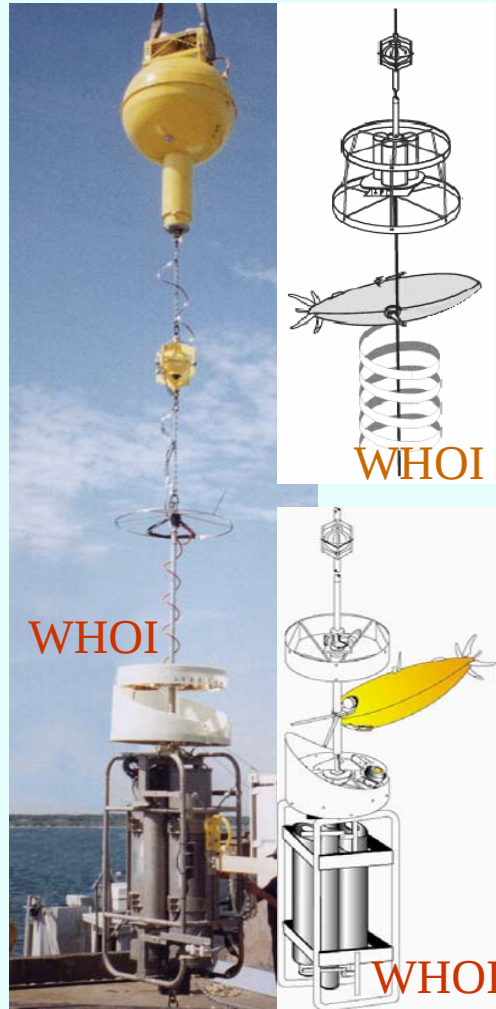
NRaD



Kawasaki

Dock Concepts Summary

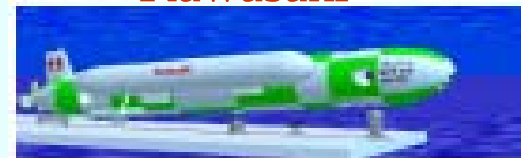
- Pole dock



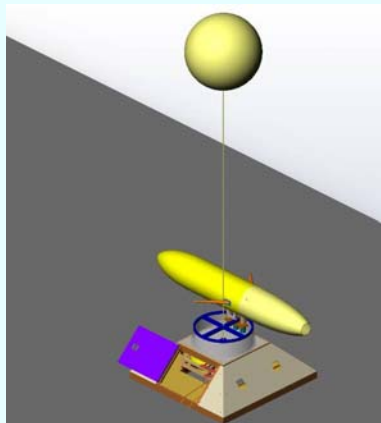
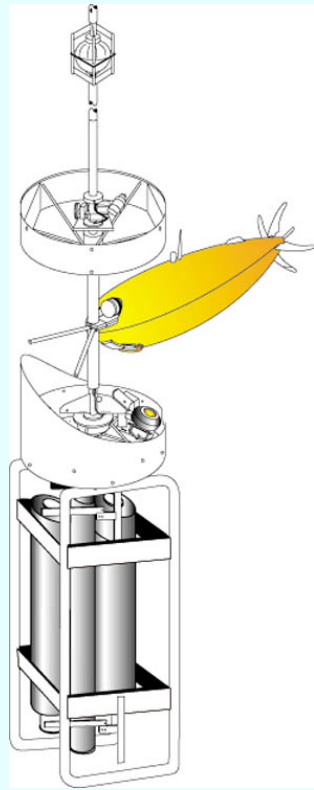
- Cone dock



- Tail hook



Dock Concepts: Pole Dock



Advantages:

- Vehicle can approach from any direction (lands into the “wind”).
- Large allowable variation in depth control.

Disadvantages:

- Vehicle must be equipped to capture the pole
- Difficult to make power/data connection since vehicle is not rigidly attached.

Dock Concepts : Fixed Cone



REMUS



KRISO/KORDI

Advantages:

- Vehicle can be captured and parked securely.
- Power and data connection easier.
- Dock can be simple.
 - No moving parts.

Disadvantages:

- Vehicle must fly a precision near-bottom approach.
- Vehicle may have to approach in a “cross wind.”
 - Control loop must crab the vehicle.

Dock Concepts: Weathervane Cone

Advantages: Same as fixed cone plus

- Vehicle approaches upwind.

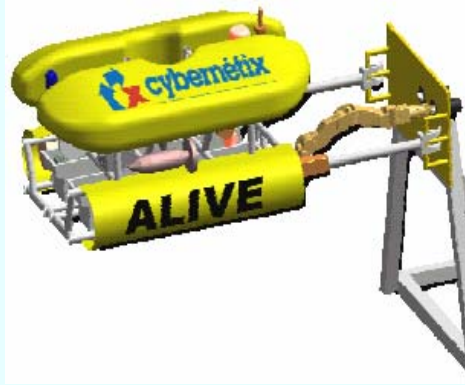
Disadvantages:

- Dock is more complex.
 - Dock must communicate its heading to the vehicle.
 - Power and data must pass through a slip-ring type and a rotary brake must be engaged during docking.



Florida Atlantic
University (FAU)

Other Designs



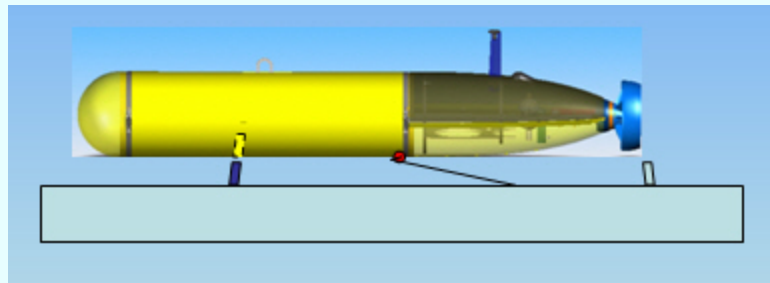
ALIVE Dock



Kawasaki Docking
System

- Hovering flight required.

- Precise low-altitude flight
- Power and data transfer challenging



Key Dock Design Trade-Off

To maximize the reliability of the Docking System:

Put the complexity on the vehicle, not the dock

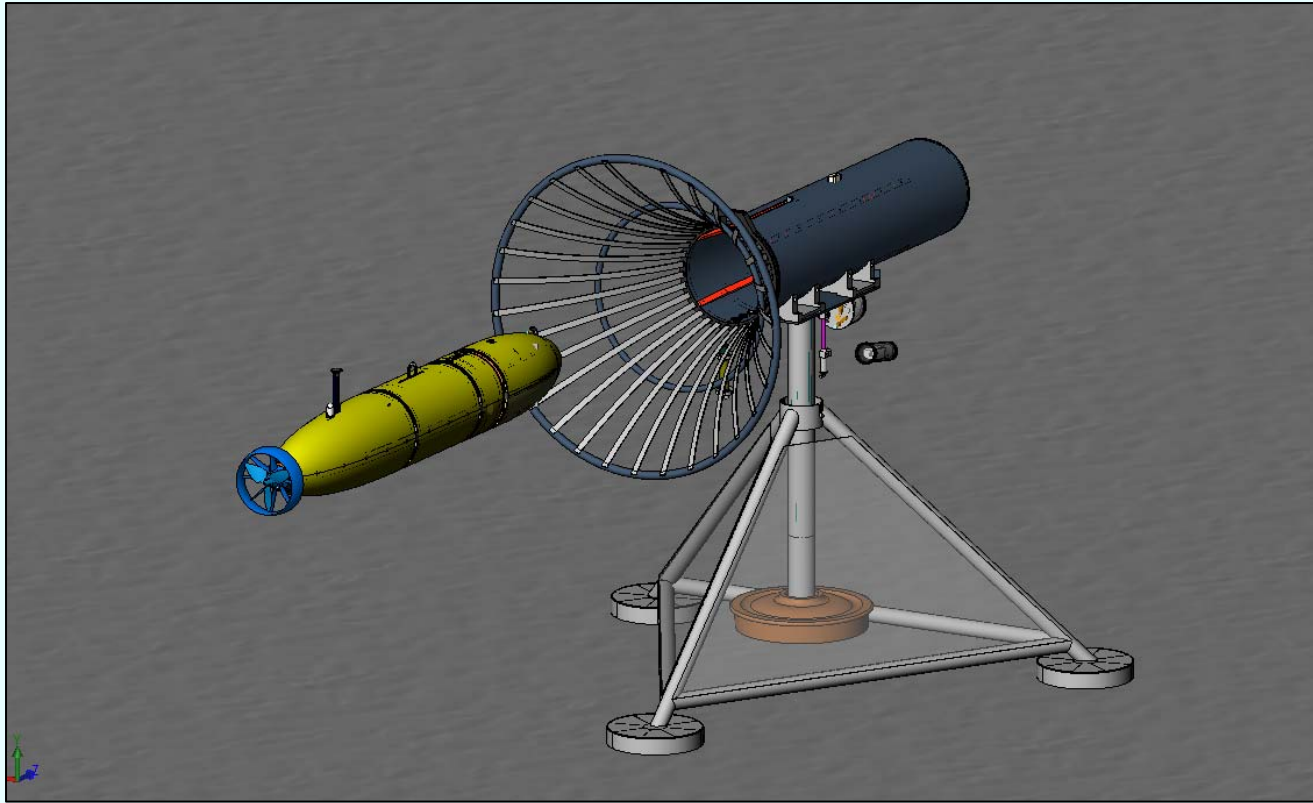
AUV is relatively easy to maintain/repair

The cost is that the AUV cost, complexity, weight and power consumption all go up

Try to use the simplest Dock design – fixed cone

And address disadvantage, e.g. cross currents with vehicle control: “crab and flair”

Proof-of-Concept AUV Dock Design



- Dorado add-on docking module
- Fixed benthic cone dock with an actuated retention pin, inductive charge system and high speed wireless data transfer

Homing Systems

locate and guide the AUV precisely to the dock - GPS-DR and a DVL

- Acoustic
 - Long range (+1km) ~2 deg accy., COTS avail.
 - USBL: LinkQuest, Sonardyne, Sonatech
 - Acoustic Vector Sensor
 - Very small, inexpensive, but significant dev. required
 - 2 Beacon LBL, USBL on dock with modem
- Electro-Magnetic: Heavy, short range ~30m
- Optical: short range, high update, water clarity/bio-fouling issues

Sonardyne I-USBL

- COTS
- 4000m depth rated
- 1 Hz update, 2 km range, 0.2 degree accuracy
- Uses standard Homer transponders
- 32 lbs in air, 12 lbs in water
- 6.37" dia., 23" long



Power Transfer

450 W for 11 hours, 400 or 48 VDC

- Direct Connect - no efficiency losses; Uses AC or DC, very high
 - Could be combined with Data Transfer
 - COTS: ODI or Seacon underwater mate-able ~ \$4k
 - Long-term reliability issue on Dock side (100 cycles, 2 years)
- Inductive - Contact-less, high reliability
 - Conversion to AC incurs efficiency losses between 10 and 30%
 - Adapt and existing system
 - electric vehicle charge puck - Consultant contacted
 - Hire developer of MIT's IPT from '97 to design a custom system
 - Use/adapt system developed at FAU
- Other
 - Optical: Light to solar cells
 - Mechanical: motor to generator



Inductive Power Transfer System (IPT)

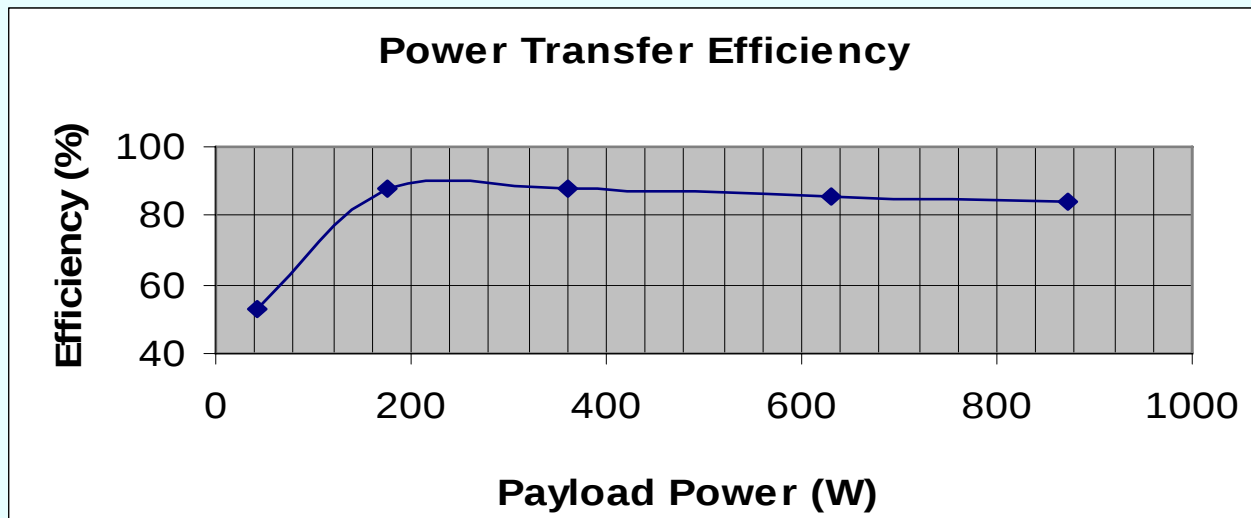
- Developed at FAU with ONR funding
- 4 sets of inductive coil/core pairs
 - Maximum field overlap
- 4.25 kHz
- 45-55 V input to docking station
- Programmable output from docking payload
 - Up to 1000W
 - 0-70 V
 - 0-30 A



Inductive Power Transfer Results

Dock Voltage (Volts)	Dock Current (Amps)	Dock Power (Watts)	Payload Voltage (Volts)	Payload Current (Amps)	Payload Power (Watts)	Efficiency (%)
49.4	1.68	83.0	10.1	4.32	43.6	52.6
48.9	4.12	201.5	20.1	8.8	176.9	87.8
48.4	8.44	408.5	30.5	11.8	359.9	88.1
48.6	15.12	734.8	40	15.76	630.4	85.8
50	20.72	1036	47.4	18.44	874.1	84.4

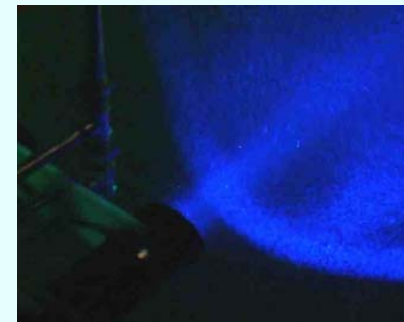
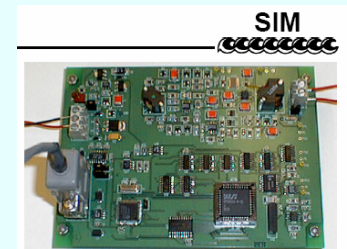
*Measured & Reported by FAU



Data Transfer

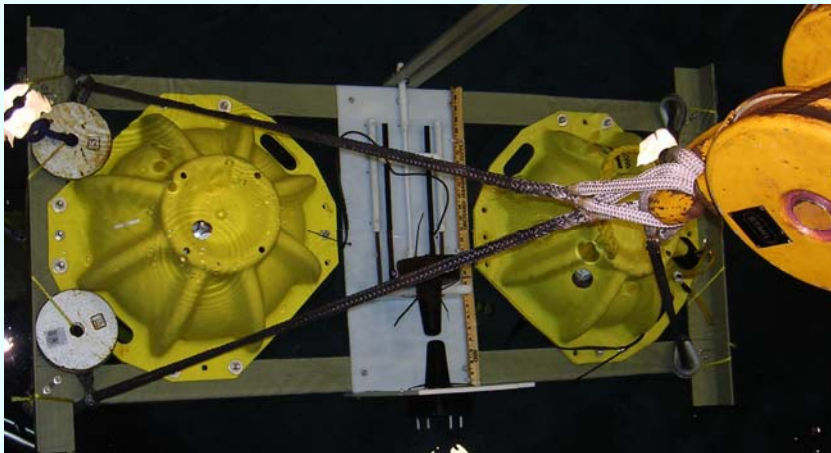
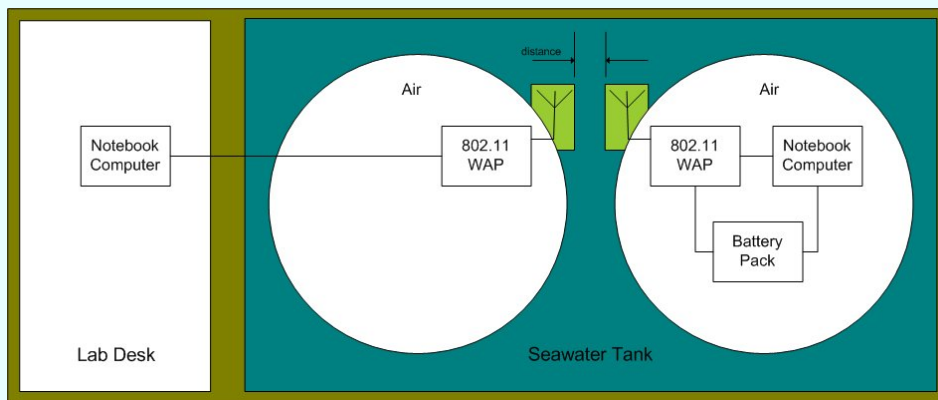
6 Mbps minimum, Ethernet

- Direct Connect
 - Combined with power
- RF
 - Functional systems at 100kHz, 14 MHz, 433MHz
 - custom devices, fairly low speed
 - 2.4 GHz – 802.11 (WiFi)
 - Many COTS components available – radios, software, antennas, amps
 - OSU and FAU have used this, though no documentation
 - Custom antenna or antenna packaging
- Inductive
 - COTS Seabird: 1.2 kbps, future upgrade to 33.6 kbps
 - AURA Communications 57kbps now, upgrading to 1 Mbps
 - Driver ICs available and tested and they will help select COTS ferrits
- Optical
 - IrDa – up to 10 Mbps +
 - Susceptible to bio-fouling
 - Laser
 - Ambalux has a near-COTS system with 10 Mbps data
 - \$20k+, 36 watts, - could provide short-range homing info

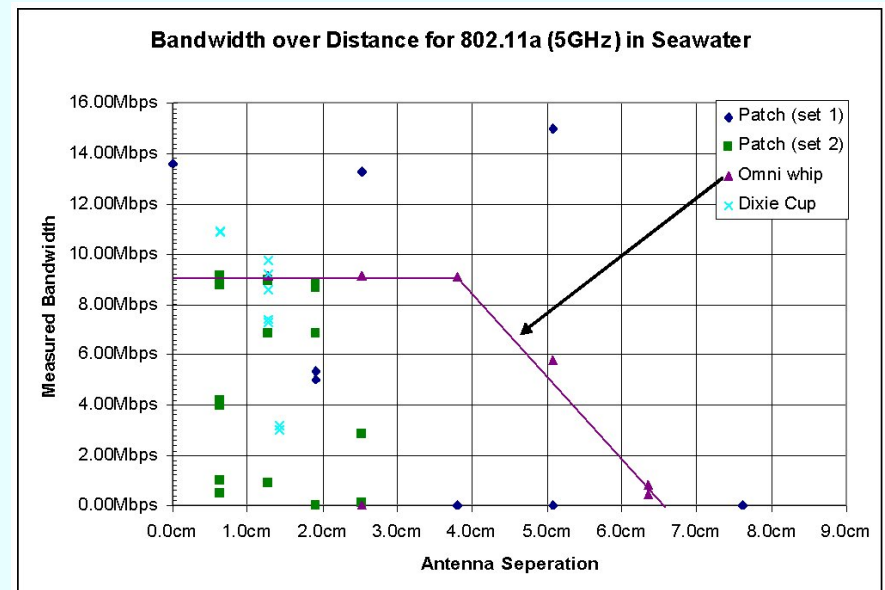


RF Data Transmission Performance

- COTS WiFi Technology
 - Custom omni-directional whip antennae
- Currently achieve 9Mbps @ 4cm w/o amplifiers
- Should achieve 11Mbps @ 6.4cm w/amplifiers

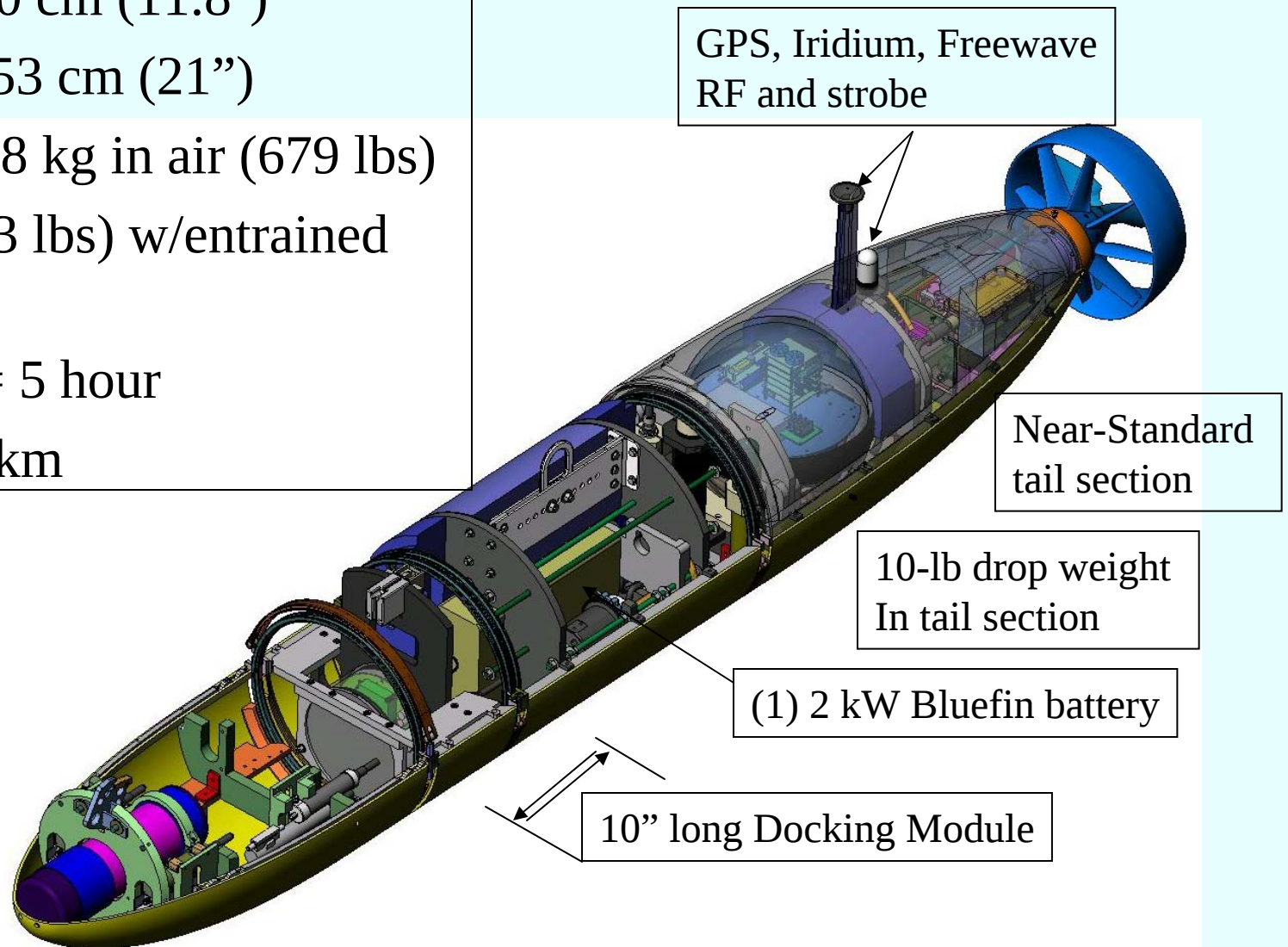


Max Tx Power	+18dBm
Feed-line Losses	-1.8dB
Tx Amplifier Gain	+12dBm
Antenna Gain	-19.3dB
Path Loss for 6.35cm	-79.1dB
Antenna Gain	-19.3dB
Rx Amplifier Gain	+20dBm
Feed-line Losses	-1.8dB
Rx Signal Strength	-71dBm
Rx Sensitivity @ 11Mbps	-88dBm
Link Margin @ 11Mbps	16.7dBm



Docking AUV

- Length = 360 cm (11.8')
- Diameter = 53 cm (21")
- Weight = 308 kg in air (679 lbs)
- 641 kg (1413 lbs) w/entrained water
- Endurance = 5 hour
- Range = 20 km

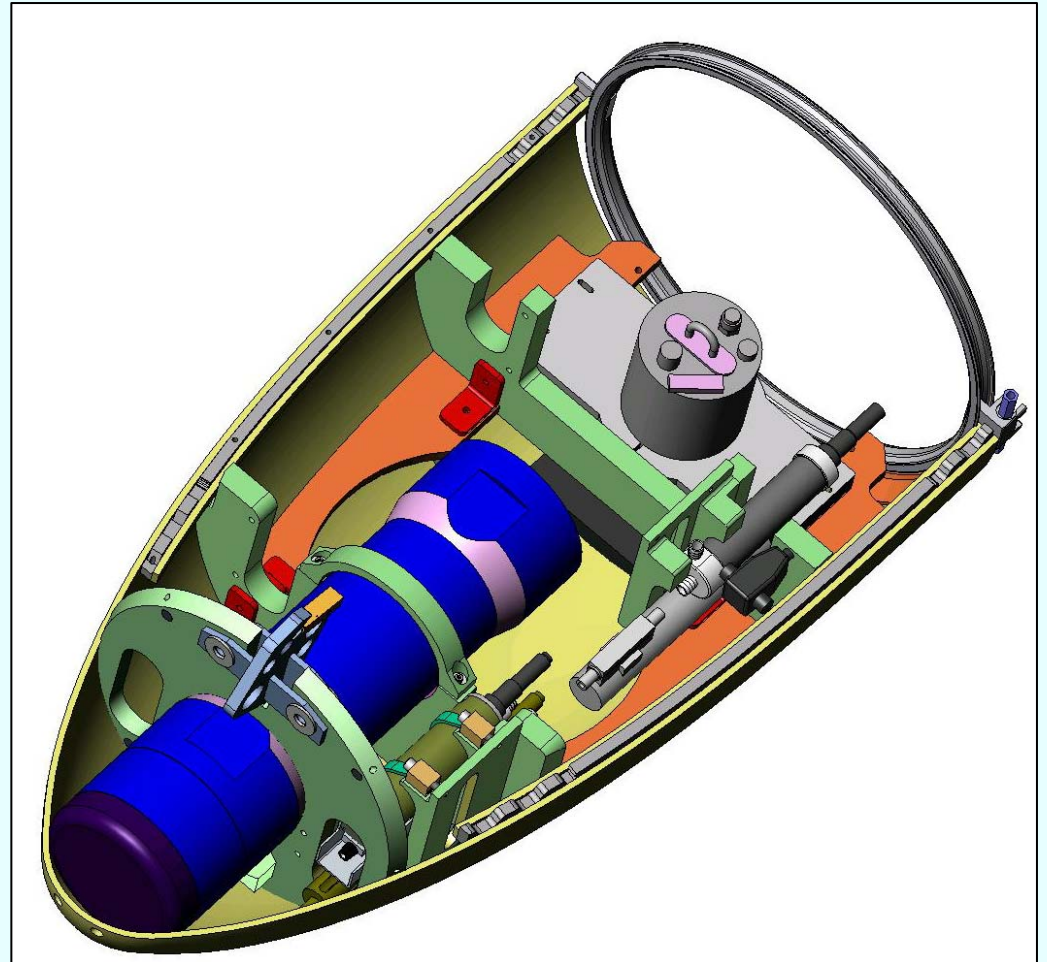


Docking AUV - Nose Section

Sonardyne USBL Homing sonar

Seabird Flow-thru CT + DO

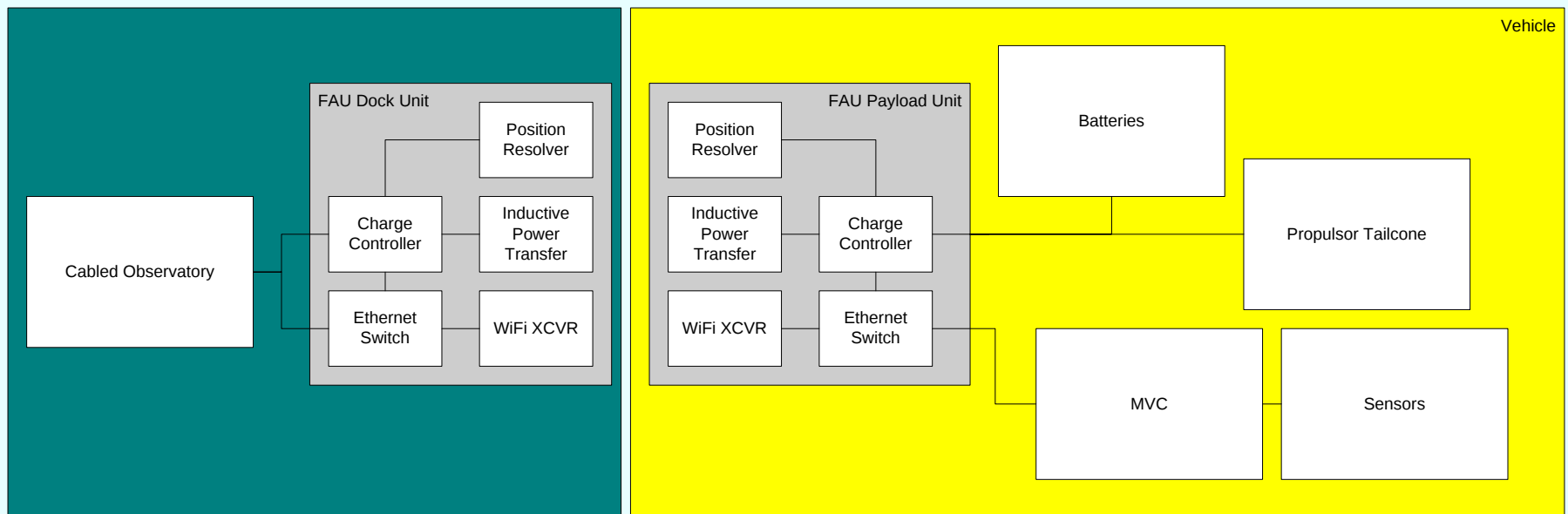
- Same system used in the CTD-AUV
- flow sensor
- redundant pair not installed



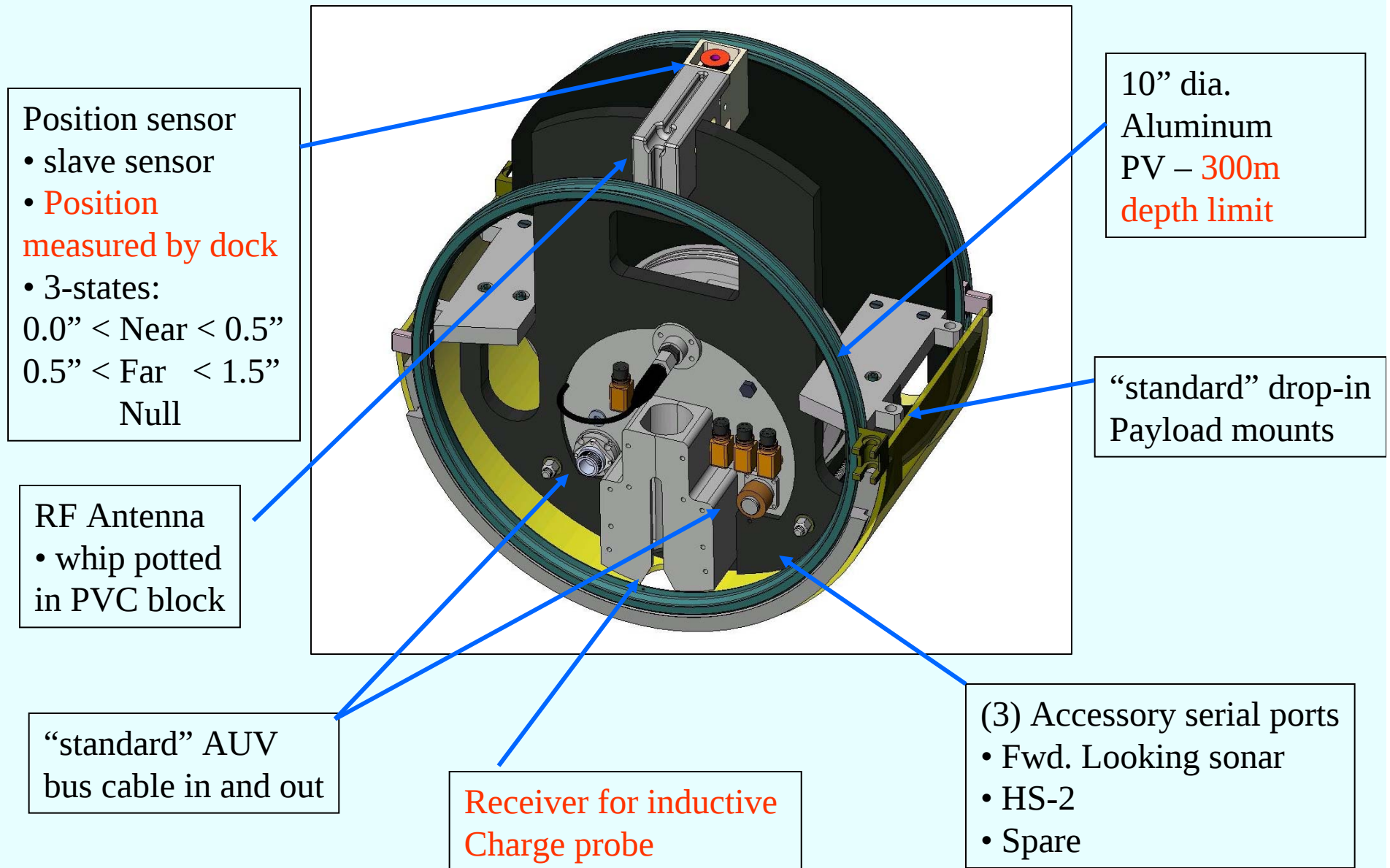
Hobi Labs HS-2

- Fluorometer
- 2 channel Backscatter

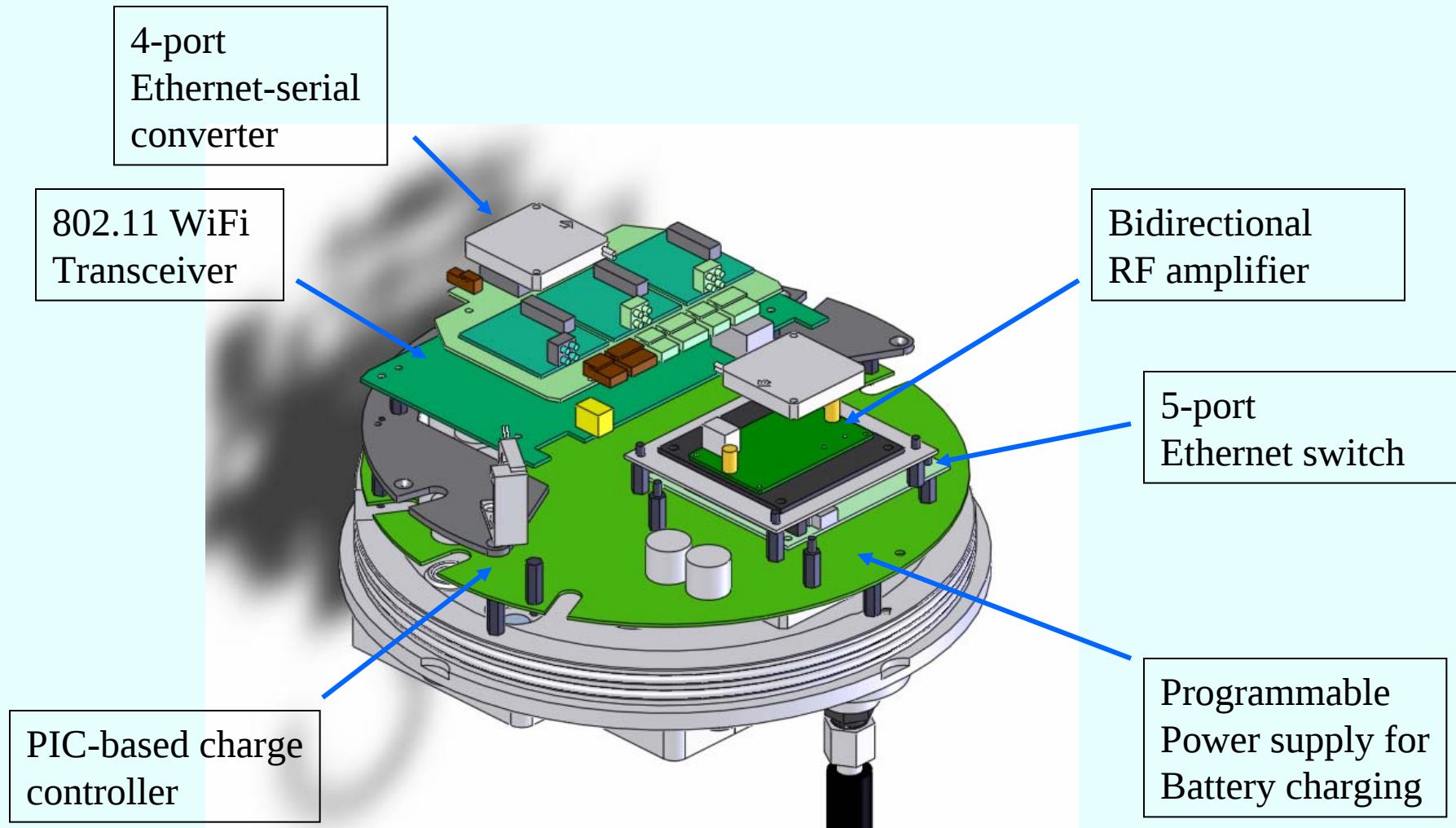
Simplified Electrical Functional Block Diagram



Docking AUV - Docking Section



Docking Payload Electronics



Docking Station Electronics

Sonardyne LCU Connector

- Range to AUV
- Simple Comms: Abort, skip

802.11 WiFi Transceiver

Vicor 400-48 VDC P/S

2-port
Ethernet to Serial
Converter

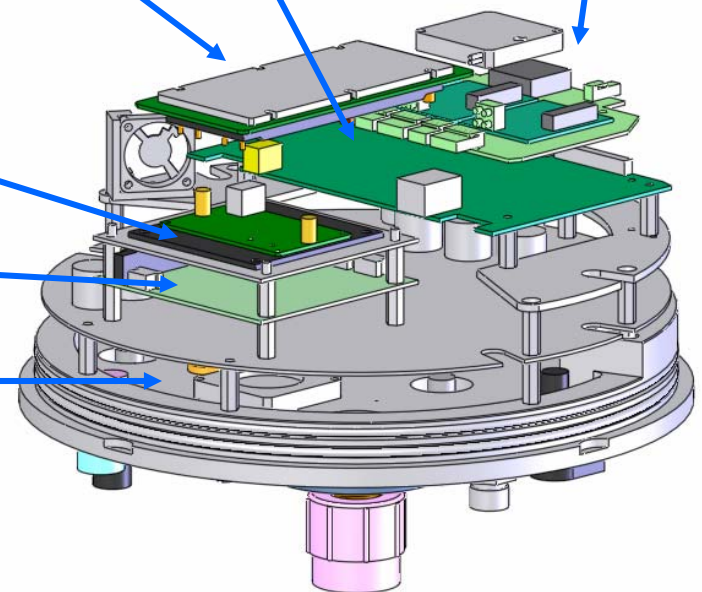
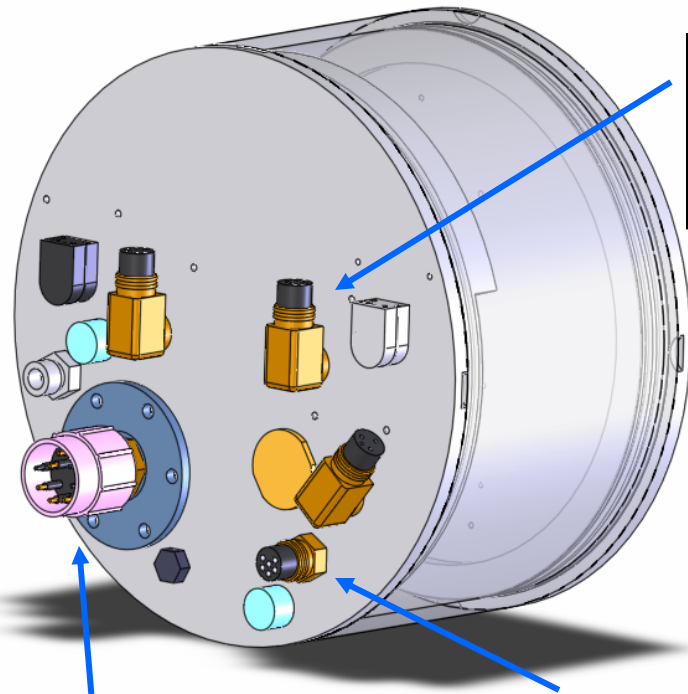
Input from MISO

Linear Actuator Connector

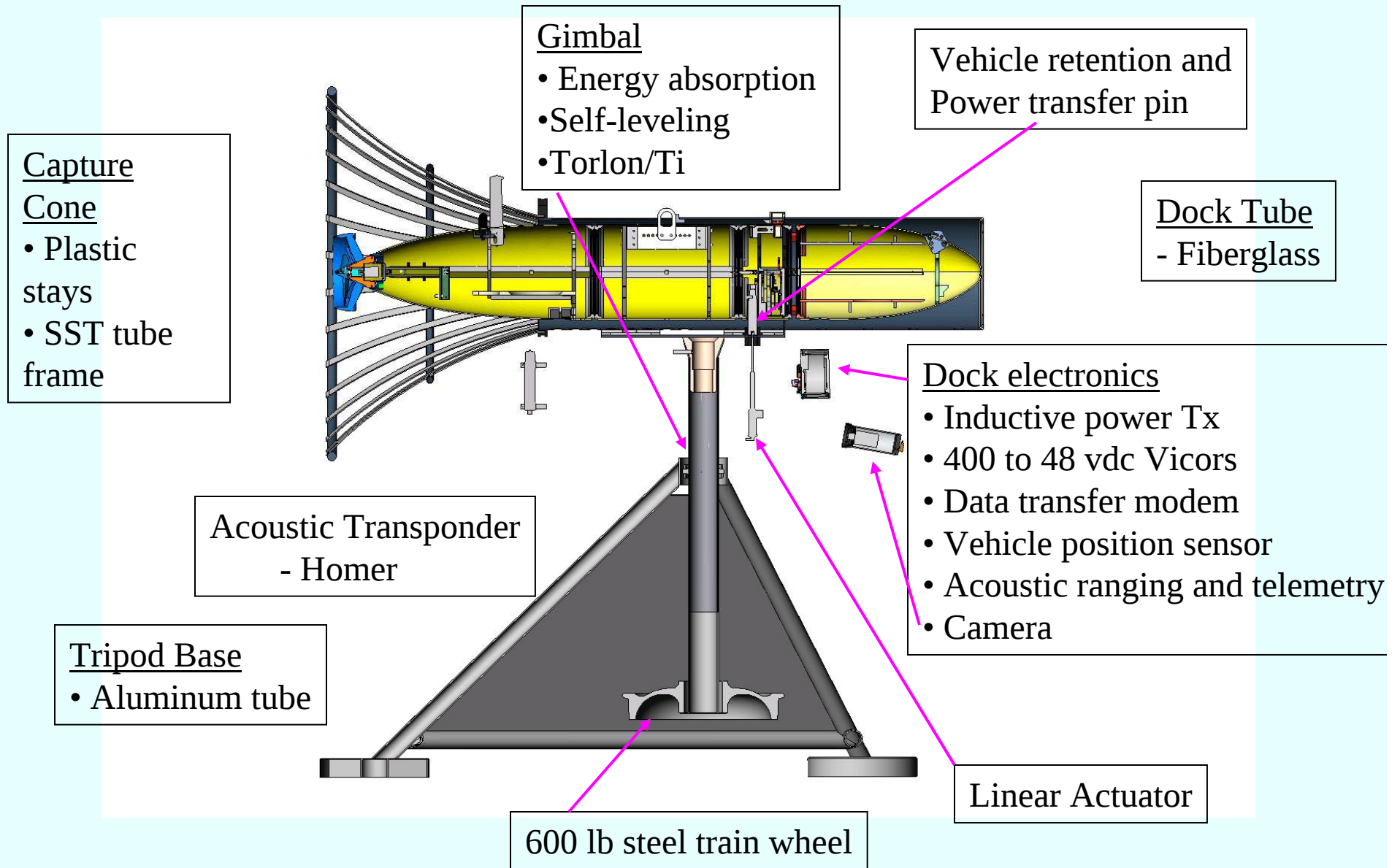
Bidirectional RF amplifier

5-port Ethernet Switch

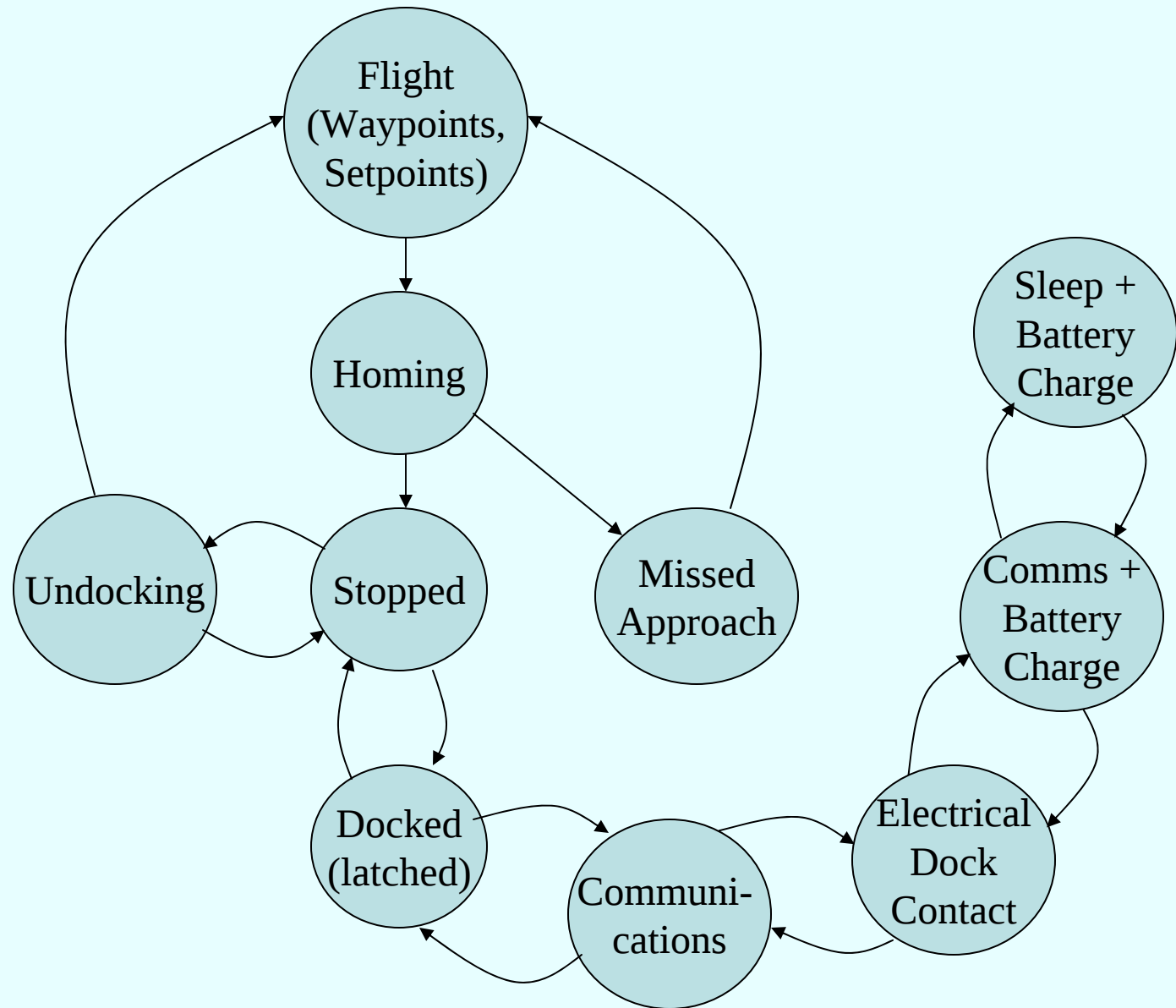
IPT electronics with PIC



AUV and Dock X-section



Docking System State Diagram

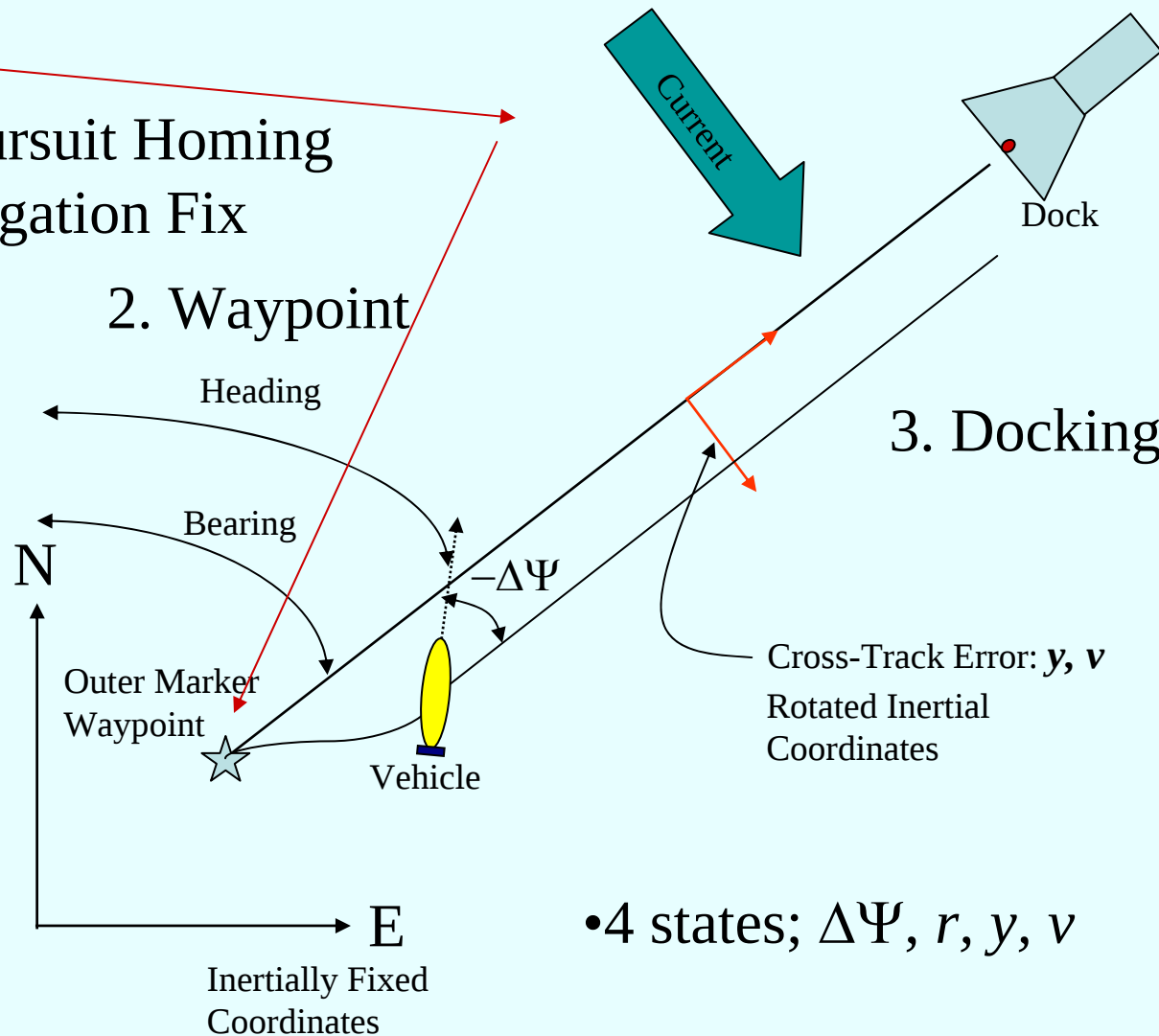


Docking Behavior Sequence

1. Pure Pursuit Homing
& Navigation Fix

2. Waypoint

3. Docking Control

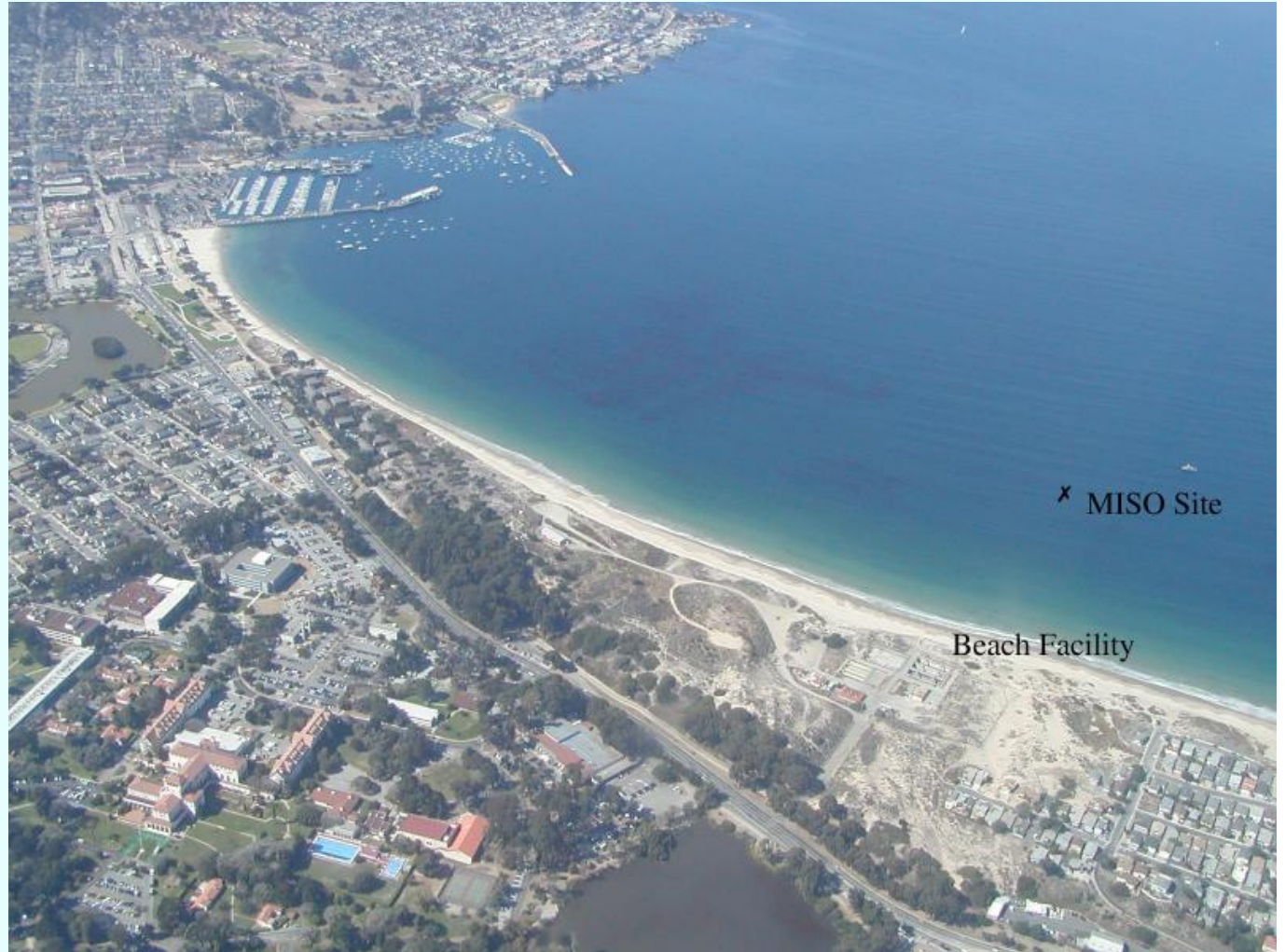


•4 states; $\Delta\Psi, r, y, v$

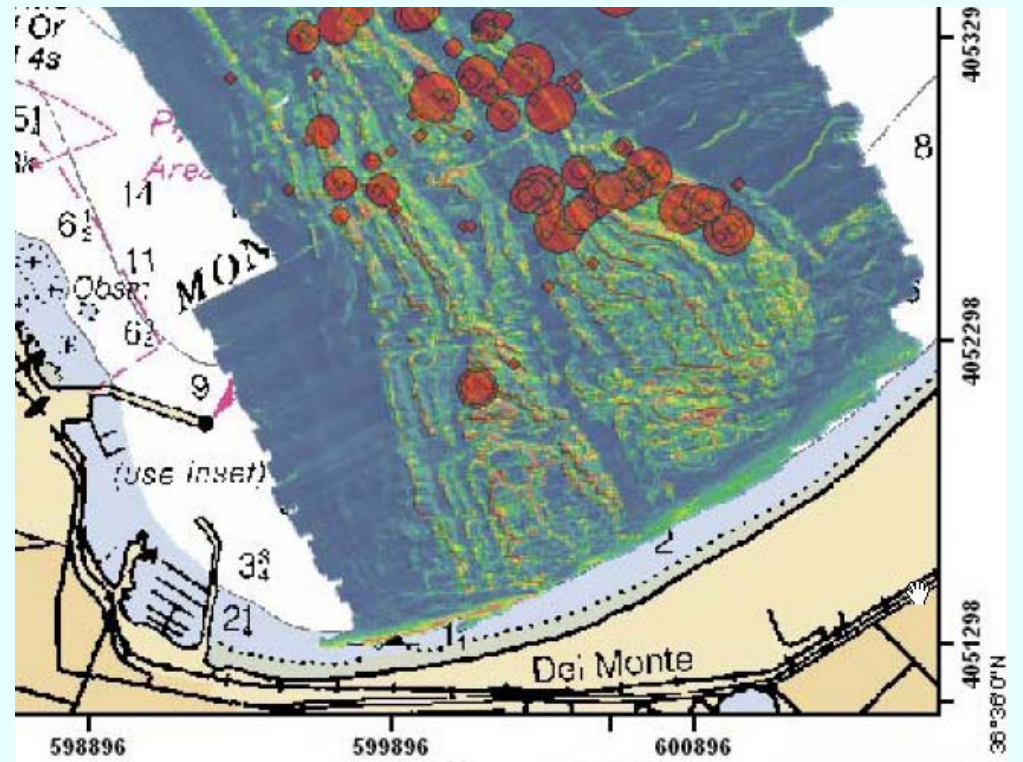
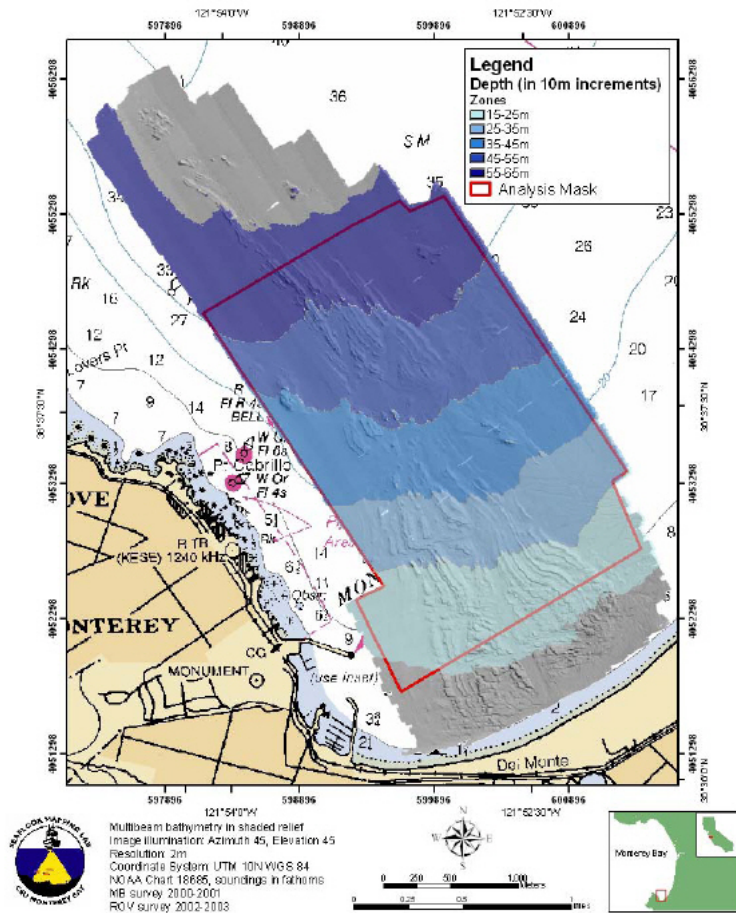
MISO - Prototype Test Site

Monterey Inner Shelf Observatory

- NPS (Stanton)
- Ethernet and Power avail.
- 14 m depth
 - Diver depth
- Wave motion
- Bio-fouling



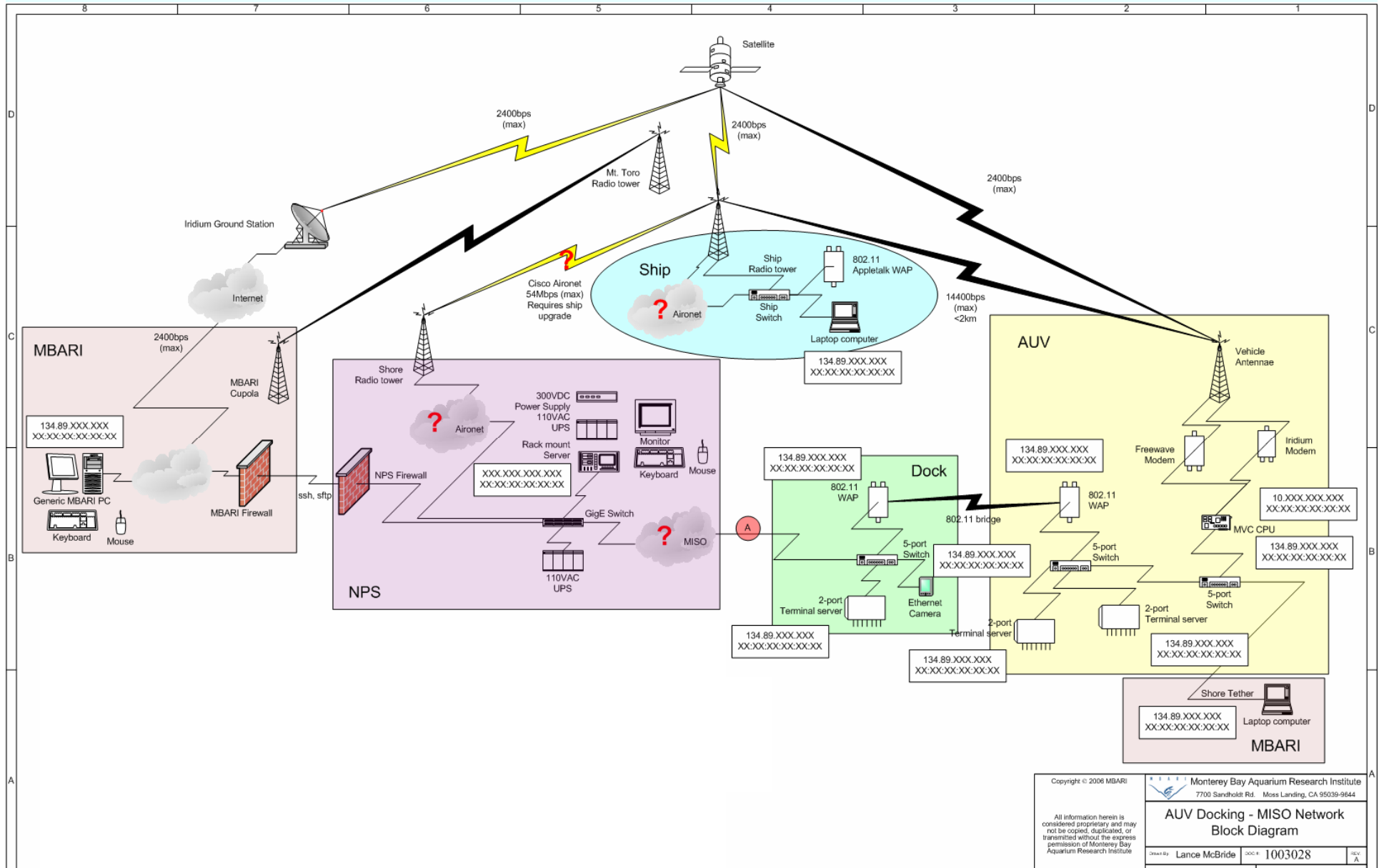
MISO - Bathymetry



MISO – approach and hazards



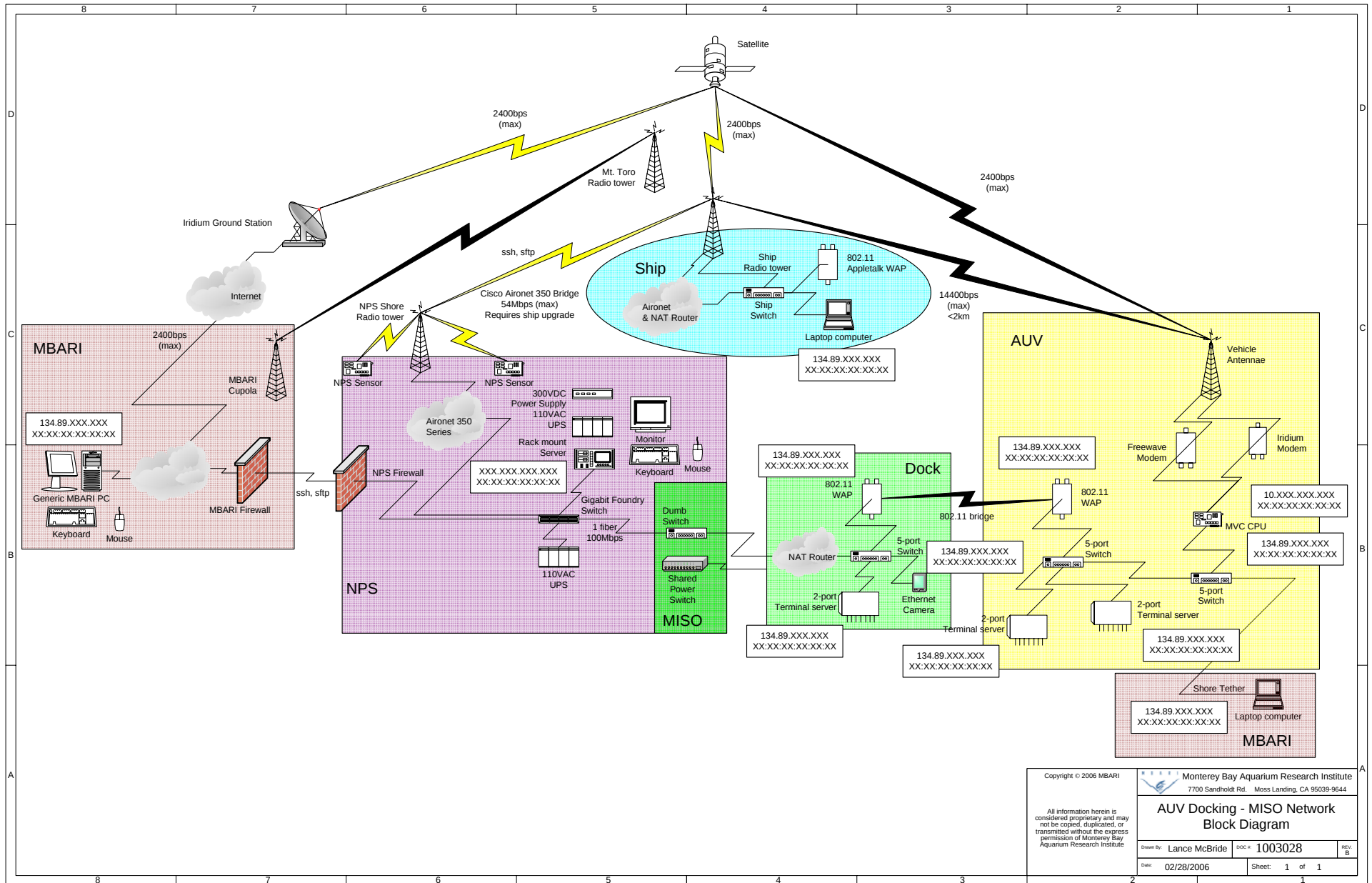
MISO Network Block Diagram



Interfaces

- Docking components to AUV
 - Ethernet comms and 36VDC through standard MINK10L connector
 - Standard hull section hoop attachment
- Dock to AUV
 - 802.11g wireless comms, FAU Inductive power probe
- Dock to observatory - MISO, MARS, MOOS
 - Cable plug-in: Impulse IL8M – 2 power + ethernet
 - 110 VAC to MBARI 400 VDC P/S
 - Control with a PC terminal via ftp over ethernet
- Observatory to MBARI/Internet
 - SSH, SFTP
- Dock to Deployment vessel (Zephyr)
 - Lifting eye on top of dock, through J-frame sheave to deck winch

MISO Network Block Diagram



Docking Missions Requirements

from Case Studies

- 12 hour long missions * 5 hour mission in Y1
- 60 km range * 20 km range in Y1
- 180 watts for science sensors, 2.2 kW total
- 420 watts with multibeam sonar, 5 kW total
- 180 MB/km max data rate, 11.6 GB total
- 480 MB/km with multibeam sonar, 31 GB total

To prepare AUV for next mission in 12 hours:

- Minimum power transfer rate: 450 watts/hr
- Minimum data transfer rate: 5.7 Mbps

Opportunities (Constraints)

- Plug into MARS, then other RCOs, and MOOS
 - * not in Y1
- Use MBARI AUVs

User/High Level Requirements

- conduct multiple missions without human presence
 - * not in Y1
- Able to communicate with the vehicle to trigger missions, recover data, and download new mission scripts and commands.
- Able to recharge AUV batteries
- Able to dock autonomously

Requirements

- Failure modes should be minimized.
- The dock must protect a sleeping vehicle
- One hundred dock/undock cycles *Target in Y1 is 10 cycles
- Docking system failures should be detectable by the vehicle/dock system and be recoverable * no on-board failure detection and recovery in Y1
- Able to release a dead AUV using the ROV * Diver-based in Y1
- *Nice if* a dead AUV could be released w/o an ROV *not addressed in Y1
- Must have comms to the AUV while docked
- *Nice if* we had comms to the AUV during a mission
- Minimize AUV power consumption in the dock
- Able to recharge batteries
- Able to survive power outages at the observatory
- *Nice if* the dock could be used under ice (Arctic) *not addressed in Y1
- *Nice if* the dock could be used to recharge benthic instruments *not addressed in Y1
- *Nice if* the dock could be adapted for 12" AUVs *not addressed in Y1
- *Nice if* the dock architecture could support multiple vehicles *not addressed in Y1

Requirements

- Operating depth: 250 m to 4000 m * 300m depth limit in Y1
- Operating current 0 to 1.0 knot
- The dock shall be resistant to bio-fouling and debris fouling and high sedimentation rates
- The dock shall be functional when operated in an area with:
 - Maximum of 2 degree slope ** will be revised to 8 deg
 - No features greater than 1 m high within 200 m of the dock
 - Typical sediments and sedimentation rates
- Minimize System maintenance
 - Target AUV maintenance interval is: >6 months * Little attention in Y1
 - Target Docking station maintenance interval > 2 years * Little attention in Y1
- Able to deploy from the R/V Western Flyer
- *Nice if the docking system could be deployed from Zephyr*
- *Nice if the dock could be “kicked off the stern” * not addressed in Y1*

Docking AUV Specifications

- **The Docking AUV shall:**
 - **Be based on the Dorado vehicle design.**
 - Have rechargeable batteries that provide 12 hour Mission capability * 5 hour mission in Y1
 - **Have navigation sensors**
 - **Onboard AHRS (compass) 1 deg minimum accuracy**
 - **Onboard DVL**
 - **ARGOS or Iridium transmitter**
 - Be trimmed neutrally buoyant with an automatic emergency drop weight * Not addressed in Y1, though Dorado LS will address this.

High Risk Elements

- Unanticipated problems
- MISO specific challenges
 - AUV operations near the beach, wave action - Operations will be conducted only during calm weather
 - bio-fouling: the dock will only be deployed for a maximum of two months
 - kelp entanglement: Careful use of time-outs, frequent GPS nav updates and strict operations procedures
 - Trawl damage: keep dock in sand channel but on the shoreside of kelp line
 - Vandalism: Don't mark dock directly
- Vehicle failures due to impact with dock
 - Install shockwatch go/no-go shock sensors on all sensitive equipment
 - Integrate Andy's accelerometer data logger – configured for 100 hz
- Software
 - Project only has a controls engineer – nobody to develop drivers, GUIs or automated processes – command line terminal mode only: Requesting time from Rich Henthorn



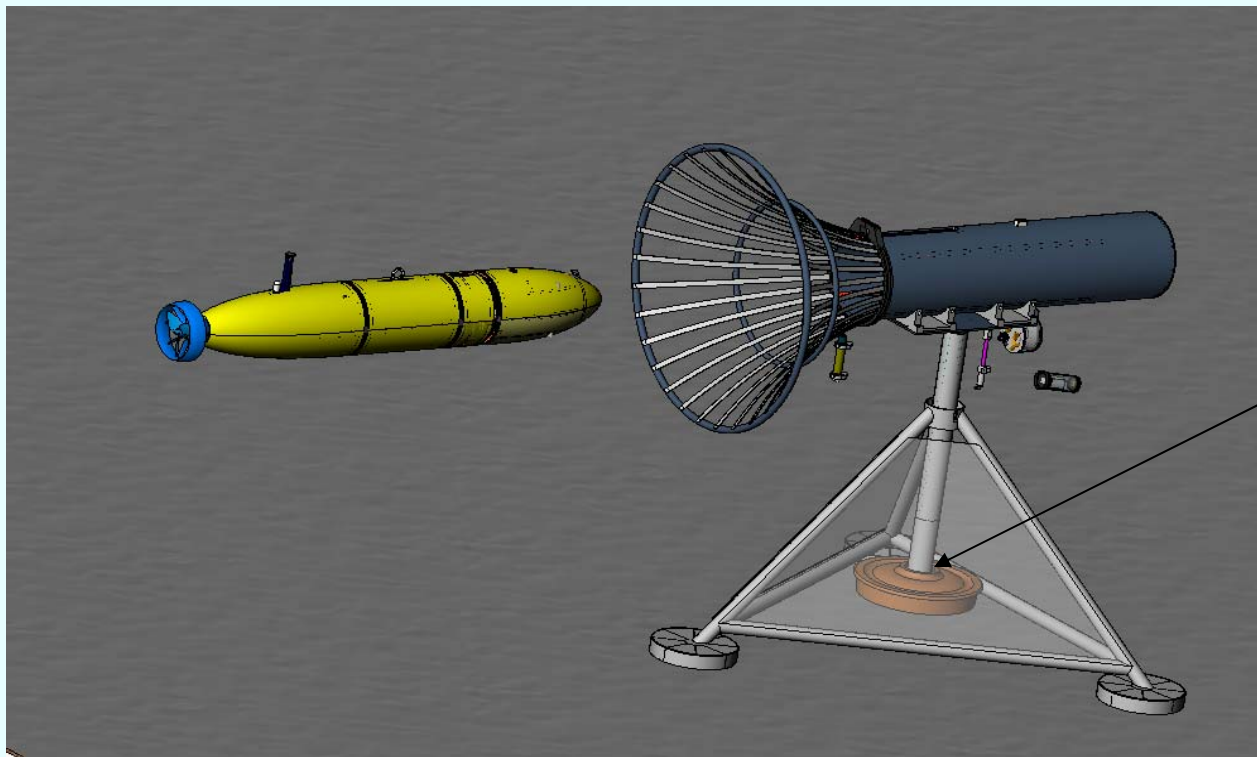
Compromises in Y1

- Docking actuator is on dock, not AUV
- Vehicle/Dock position is measured on dock side
- Inductive Power Transfer system PV are 300m
- Single 2 kW Battery limits endurance
- Limited sensors: 1 set of CTD_o, no ISUS, Backscatter,
- Forced to contend with wave action and bio-fouling

Planned upgrades in Y2

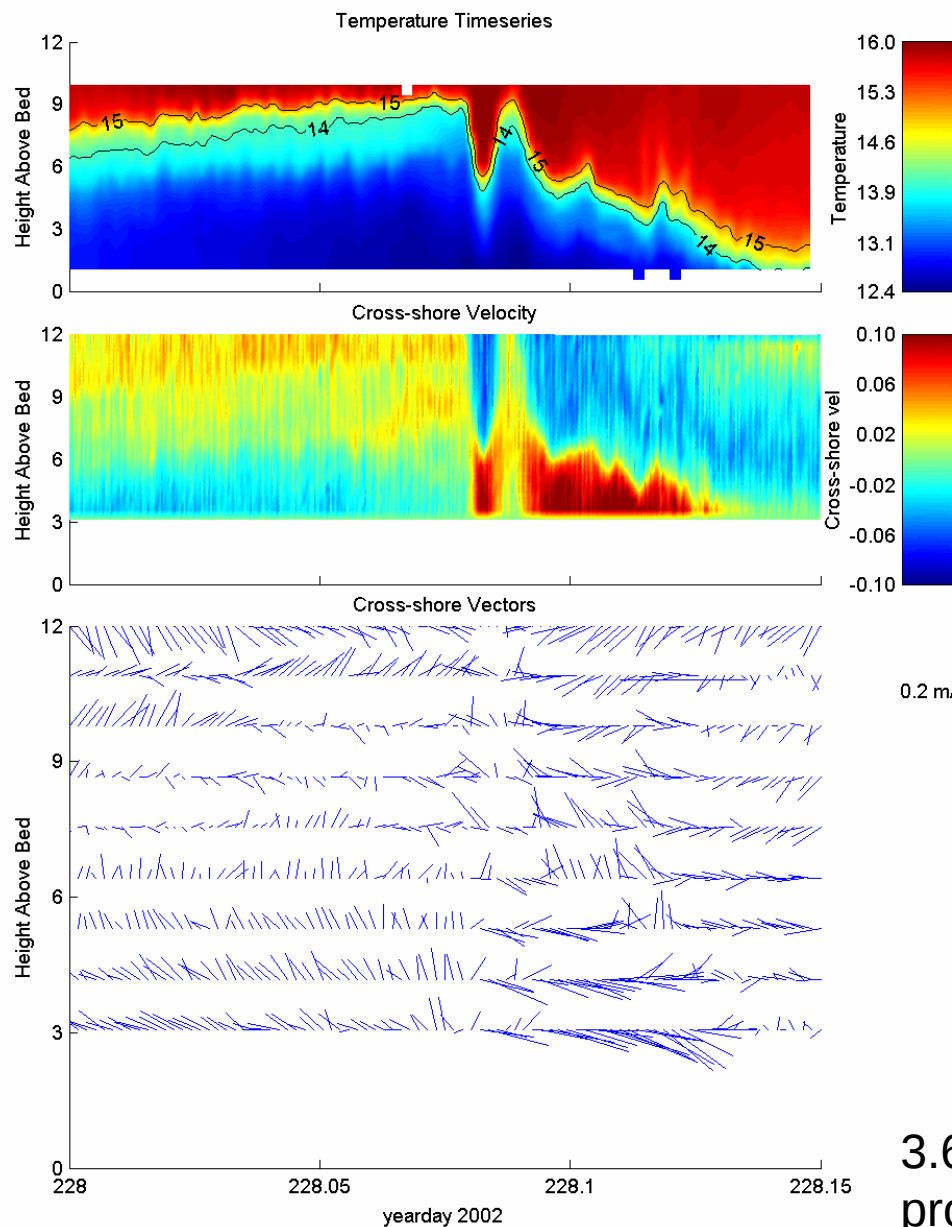
- Address issues discovered in Proof of Concept
- Rugged-ize system design to increase reliability and maintenance interval
- Re-configure IPT for vehicle-centric dock
- Re-package IPT in 4000m housing
- Increase battery capacity to meet Requirements

Year 3 Upgrades



Questions?

- Thank you very much for your input and patience!

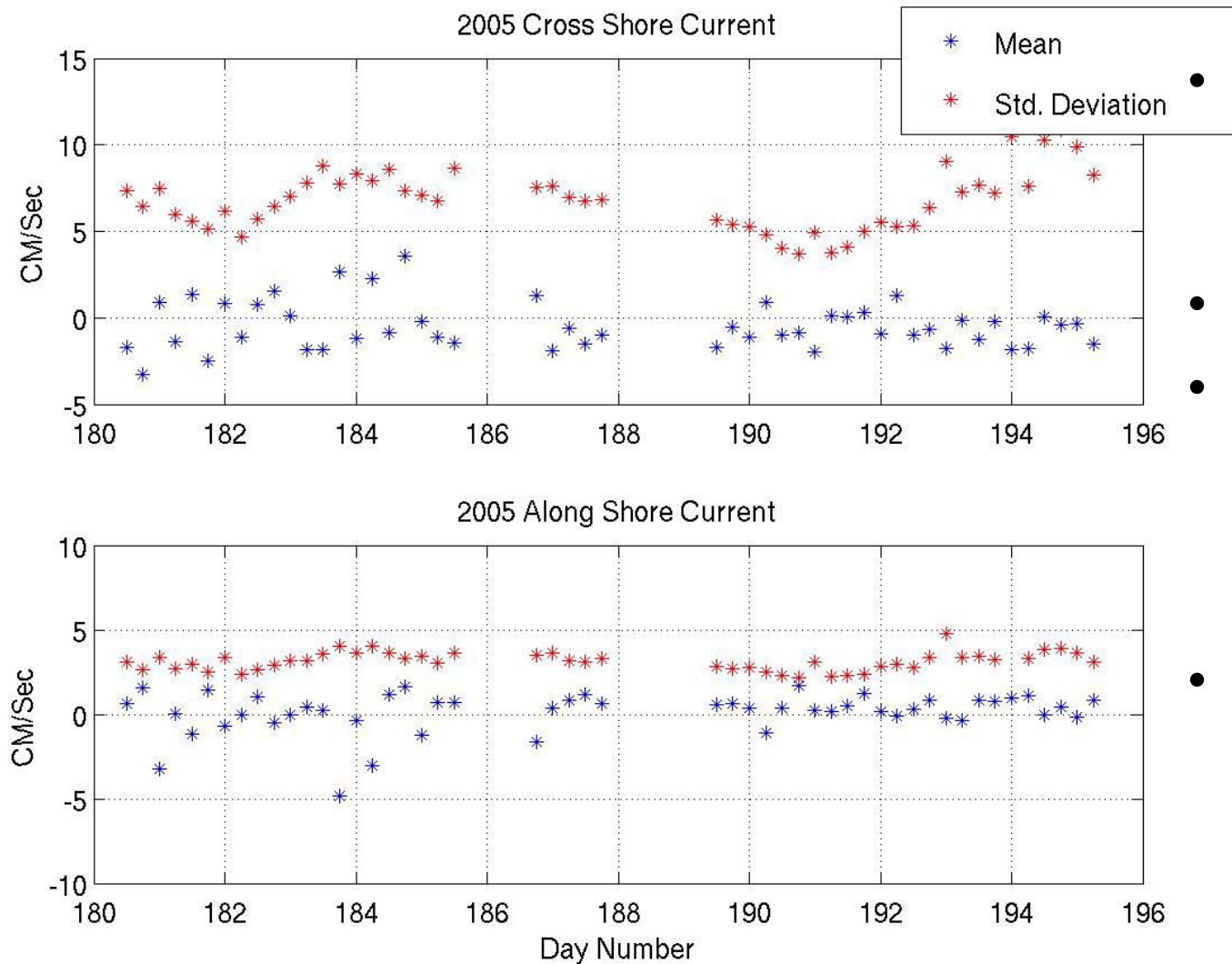


Temperature and Current Profile Timeseries of an Internal Bore and Leading Edge Soliton at MISO

Tim Stanton, NPS
stanton@nps.edu

3.6 hours of 2 minute
profile observations

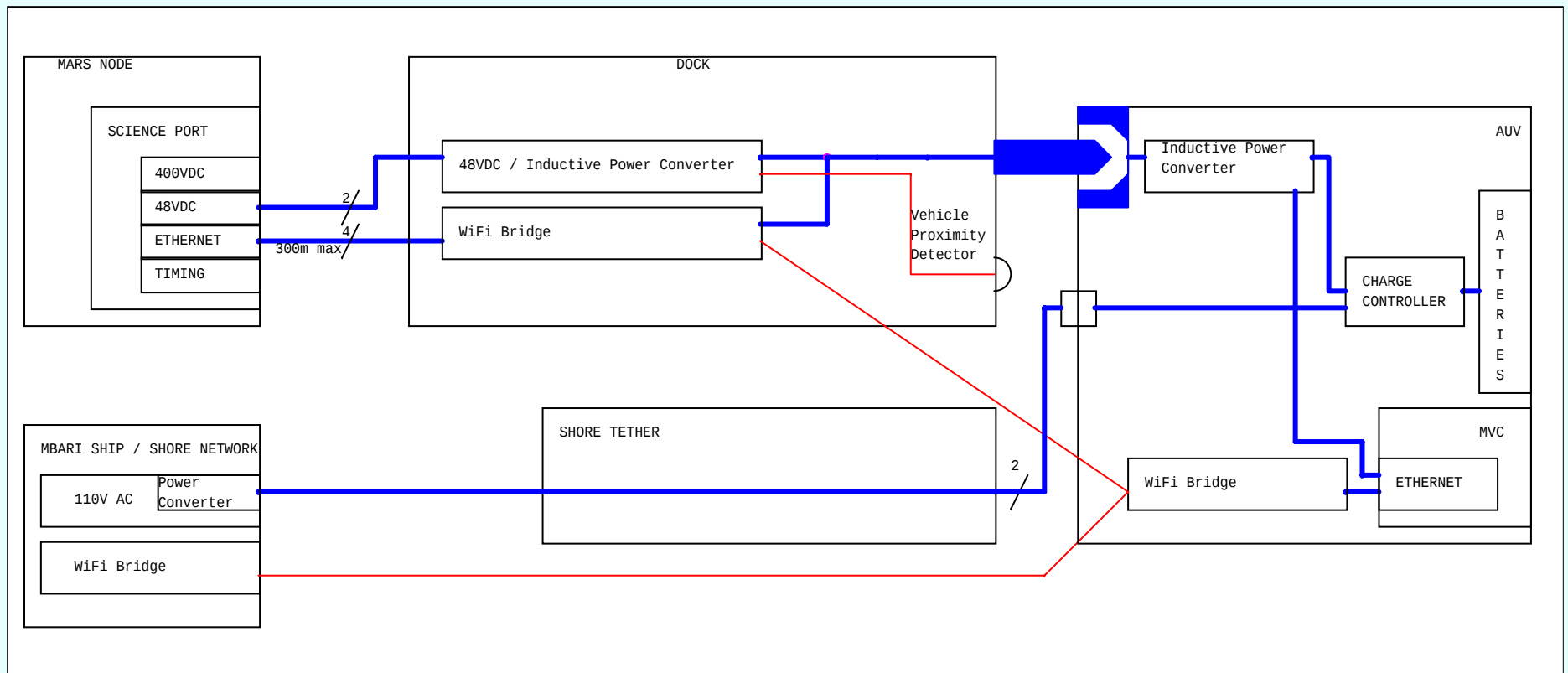
MISO - Currents



- Wave motion dominant.
- 12 m depth.
- Bottom mounted ADCP near Monterey.
- Cross-shore can go to 50 cm/sec.

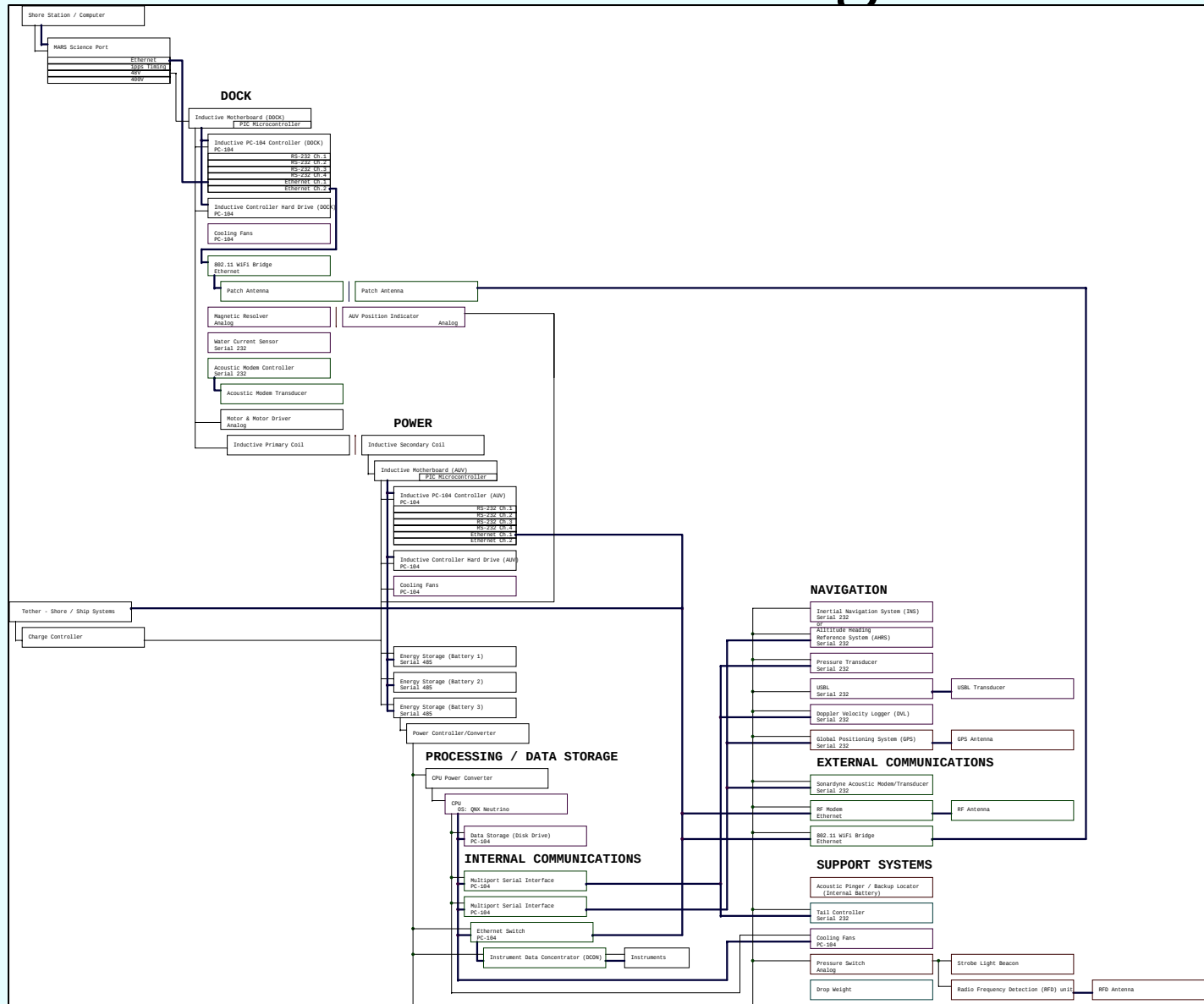
Data courtesy of Tim Stanton, NPS

Electrical Dock Components Conceptual Block Diagram



Docking System

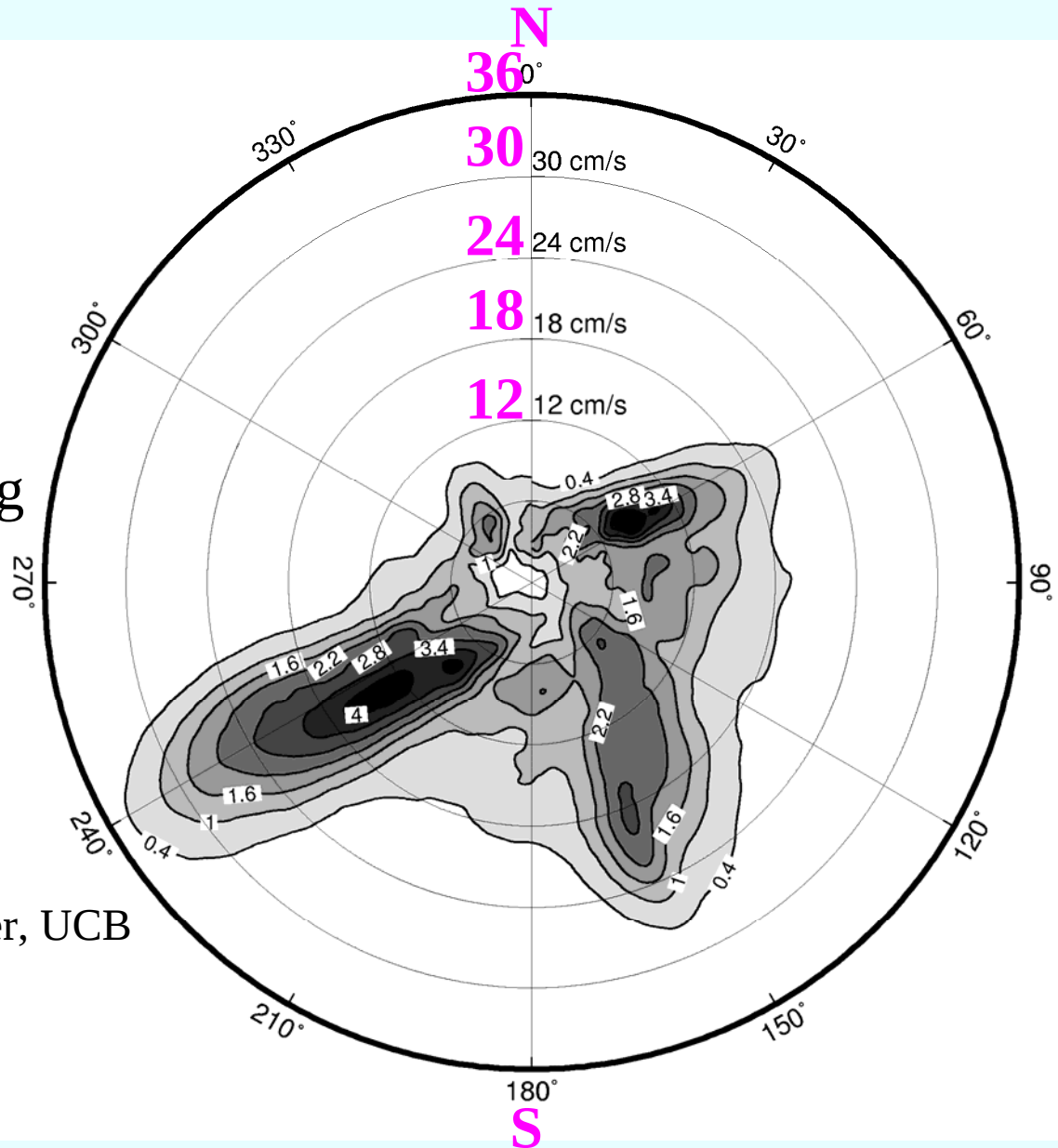
Detailed Block Diagram



Expected Currents at Smooth Ridge

- Max. current 36 cm/sec.
- Tidal semi-diurnal motion 60/240 Deg.
- 1 Yr of data, sampling period 1 second.
- 1 meter off seafloor
- Contours are probability density.

Plotted by B. Uhrhammer, UCB



Slope near MARS

