



UNITED STATES COAST GUARD NOTICE OF VIOLATION

TK 00323269

Coast Guard
Unit Address: _____

Date and Time of Violation: _____

☐ Estimated ☐ Known

NRC Case # _____

LOCATION OF VIOLATION	WATERBODY	RIVER MILE	Latitude	Longitude	CITY	STATE
PARTY IN VIOLATION						
NAME	TITLE	VESSEL	FLAG			
MAILING ADDRESS		VIN	SERVICE			
CITY	STATE	ZIP	FACILITY			
COUNTRY	POSTAL CODE		FIN			
TELEPHONE			CATEGORY			
		PARTY INVOLVED				
		IPN	MMD/LIC	ISSUE PORT		

DISCHARGE VIOLATION

☐ Owner, ☒ Operator, or ☐ Person in Charge was found in violation of:

REGULATION	NATURE OF VIOLATION	MAXIMUM PENALTY	PROPOSED PENALTY
33 USC 1321(b)(3)	Discharge of oil in violation of	\$11,000.00	\$

- ☐ I observed a/ ☒ sheen ☐ sludge on ☒ a navigable water of the U.S.
☒ It was reported a/ ☐ film ☐ emulsion ☐ an adjoining shoreline

The violation resulted from a discharge from a:

- ☐ commercial ☒ vessel
☐ non-commercial ☐ onshore facility
☐ offshore facility

Spill violation in the past 12 months?

☒ Yes ☐ No☐ Not considered

The estimated volume is:

1.6 gal

OTHER VIOLATIONS

REGULATION	NATURE OF VIOLATION	MAXIMUM PENALTY	PROPOSED PENALTY
CFR		\$	\$
CFR		\$	\$
CFR		\$	\$
CFR		\$	\$
USC		\$	\$
USC		\$	\$
(Total cannot exceed \$10,000)		TOTAL PENALTY	\$

Incident Description _____

Issued By _____

Date / Time _____

Received By _____

Position _____

Date _____

ACCEPT THE PROPOSED PENALTY

☐ I/We accept the proposed penalty.

The proposed Total Penalty amount for the violations is stated on the front page. **Receipt of your full payment within 45 days will close this case.**

Directions: If you choose to accept this proposed penalty, check the box above and no later than 45 days of receipt of this NOV remit payment with the copy marked BANK/DECLINE COPY to the address below. Make your check payable to "U.S. Coast Guard – Civil Penalties" and write the number of this NOV on your check.

Remit your payment to (regular mail):

U.S. Coast Guard – Civil Penalties
P.O. Box 70945
Charlotte, NC 28272-0945

Payments sent via overnight courier should be sent to:

Wachovia QLP Wholesale Lockbox – NC0810
Lockbox # 70945
1525 West WT Harris Blvd.
Charlotte, NC 28262

DECLINE THE PROPOSED PENALTY

☐ I/We decline the proposed penalty.

If you dispute the Total Penalty proposed or other circumstances concerning this Notice of Violation (NOV) you may **DECLINE** it not later than 45 days of receipt. Declining the NOV will result in the case file being sent to a Coast Guard Hearing Officer for a determination. After the Hearing Officer makes a preliminary determination, you will be afforded the opportunity to respond to the allegations or request a hearing. **THE HEARING OFFICER IS NOT BOUND BY THE NOV AND DETERMINATION BY THE HEARING OFFICER MAY RESULT IN A FINAL ASSESSMENT EQUAL TO OR GREATER THAN THE PROPOSED PENALTY.**

Directions: To decline, check the box above on the BANK/DECLINE COPY and return it to the Coast Guard unit address found on the front page of this NOV. **Other communications concerning this case should be sent to the Hearing Officer upon receipt of a Letter of Notification.**

FAILURE TO RESPOND

If you fail to either pay or decline this Notice of Violation (NOV) within 45 days, the Coast Guard will enter a finding of default and will proceed with the collection of the civil penalty in the amount recommended on this NOV.

Small businesses may send comments on the actions of Federal employees who enforce, or otherwise determine compliance with, Federal regulations to the Small Business and Agriculture Regulatory Enforcement Ombudsman and the Regional Small Business Regulatory Fairness Boards. The Ombudsman evaluates these actions annually and rates each agency's responsiveness to small business. If you wish to comment on actions by employees of the Coast Guard, call 1-888-REG-FAIR (1-888-734-3247).