

Reference Copy Only. Do Not Mail to the FCC as an Application.

Submitted: 09/08/2014 at 17:00:09
File Number: 0006452294

FCC 601 Main Form

FCC Application for Radio Service Authorization: Wireless Telecommunications Bureau Public Safety and Homeland Security Bureau

Approved by OMB

3060 - 0798

See instructions for
public burden estimate

1) Radio Service Code: MG	1a) Existing Radio Service Code:
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General Information

2) (Select only one) (NT) NE - New RO - Renewal Only AU - Administrative Update NT - Required Notifications MD - Modification RM - Renewal/Modification WD - Withdrawal of Application EX - Requests for Extension of Time AM - Amendment CA - Cancellation of License DU - Duplicate License RL - Registered Location/Link	
3a) If this application is for a D evelopmental License, D emonstration License, or a S pecial Temporary Authorization (STA), enter the code and attach the required exhibit as described in the instructions. Otherwise enter ' N ' (Not Applicable).	(N) D M S N/A
3b) If this application is for Special Temporary Authority due to an emergency situation, enter 'Y'; otherwise enter 'N'. Refer to Rule 1.915 for an explanation of situations considered to be an emergency.	() Yes No
4) If this application is for an Amendment or Withdrawal, enter the file number of the pending application currently on file with the FCC.	File Number
5) If this application is for a Modification, Renewal Only, Renewal/Modification, Cancellation of License, Duplicate License, or Administrative Update, enter the call sign of the existing FCC license. If this is a request for Registered Location/Link, enter the FCC call sign assigned to the geographic license.	Call Sign
6) If this application is for a New, Amendment, Renewal Only, or Renewal/Modification, enter the requested authorization expiration date (this item is optional).	MM DD ____/____
7) Is this application "major" as defined in §1.929 of the Commission's Rules when read in conjunction with the applicable radio service rules found in Parts 22 and 90 of the Commission's Rules? (NOTE: This question only applies to certain site-specific applications. See the instructions for applicability and full text of §1.929).	() Yes No
8) Are attachments (other than associated schedules) being filed with this application?	() Yes No

Fees, Waivers, and Exemptions

9) Is the Applicant exempt from FCC application fees?	(N) Yes No
10) Is the Applicant exempt from FCC regulatory fees?	() Yes No
11a) Does this application include a request for a Waiver of the Commission's Rule(s)? If 'Yes', attach an exhibit providing rule number(s) and explaining circumstances.	(N) Yes No
11b) If 11a is 'Y', and a feeable waiver request is attached, enter the number of rule sections involved.	Number of Rule Section(s): _____
12) Are the frequencies or parameters requested in this filing covered by grandfathered privileges, previously approved by waiver, or functionally integrated with an existing station?	() Yes No

Applicant Information

13) FCC Registration Number (FRN): 0001635101			
14) Applicant/Licensee Legal Entity Type: (Select One) ☐ Individual ☐ Unincorporated Association ☐ Trust ☐ Government Entity ☒ Corporation ☐ Limited Liability Company ☐ General Partnership ☐ Limited Partnership ☐ Limited Liability Partnership ☐ Consortium ☐ Other:			
15) If the Licensee name is being updated, is the update a result from the sale (or transfer of control) of the license(s) to another party and for which proper Commission approval has not been received or proper notification not provided?			() <u>Yes</u> <u>No</u>
16) First Name (if individual):	MI:	Last Name:	Suffix:
17) Legal Entity Name (if other than individual): MONTEREY BAY AQUARIUM RESEARCH INSTITUTE			
18) Attention To: CRAIG OKUDA			
19) P.O. Box:	And/Or	20) Street Address: 7700 Sandholdt Road	
21) City: MOSS LANDING	22) State: CA		23) Zip Code: 95039
24) Telephone Number: (831)775-1931		25) FAX: (831)775-1652	
26) E-Mail Address: craig@mbri.org			

27) Demographics (Optional):

Race: ☐ American Indian or Alaska Native ☐ Asian ☐ Black or African-American ☐ Native Hawaiian or Other Pacific Islander ☐ White	Ethnicity: ☐ Hispanic or Latino ☐ Not Hispanic or Latino	Gender: ☐ Male ☐ Female
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Real Party in Interest

28) Name of Real Party in Interest of Applicant (If different from Applicant):	29) FCC Registration Number (FRN) of Real Party in Interest:
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Contact Information (If different from the Applicant)() **Check here if same as Applicant.**

30) First Name: CRAIG	MI: M	Last Name: OKUDA	Suffix:
31) Company Name: MONTEREY BAY AQUARIUM RESEARCH INSTITUTE			
32) Attention To:			
33) P.O. Box:	And /Or	34) Street Address: 7700 SANDHOLDT ROAD	
35) City: MOSS LANDING	36) State: CA		37) Zip Code: 95039
38) Telephone Number: (831)775-1931		39) FAX: (831)775-1652	
40) E-Mail Address: craig@mbari.org			

Regulatory Status

41) This filing is for authorization to provide or use the following type(s) of radio service offering (enter all that apply):			
☐ <u>C</u> ommon Carrier	☐ <u>N</u> on-Common Carrier	☐ <u>P</u> rivate, internal communications	☐ <u>B</u> roadcast Services ☐ <u>B</u> and <u>M</u> anager

Type of Radio Service

42) This filing is for authorization to provide the following type(s) of radio service (choose all that apply):				
(☒)Fixed	(☒)Mobile	(☒)Radiolocation	(☒)Satellite (sound)	(☒)Broadcast Services
43) Does the Applicant propose to provide service interconnected to the public telephone network?		(☒)Yes ☐ No		

Alien Ownership Questions (If any answer is 'Y', provide an attachment explaining the circumstances. In preparing the attachment, refer to the Main Form Instructions for the "Alien Ownership Questions".)

44) Is the Applicant a foreign government or the representative of any foreign government?	(☐)Yes ☒ No
45) Is the Applicant an alien or the representative of an alien?	(☐)Yes ☒ No
46) Is the Applicant a corporation organized under the laws of any foreign government?	(☐)Yes ☒ No
47) Is the Applicant a corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country?	(☐)Yes ☒ No
48a) Is the Applicant directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens or their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country?	
48b) If the answer to 47 or 48a is 'Y' select one of the choices below.	
☐ The Applicant is exempt from the provisions of Section 310(b). <i>It is not necessary to file a petition for declaratory ruling if the Applicant includes in the attachment required by Item 47 or Item 48a a showing that the requested license(s) is exempt from the provisions of Section 310(b).</i>	
☐ The Applicant has received a declaratory ruling(s) approving its foreign ownership, and the application involves only the acquisition of additional spectrum for the provision of a wireless service in a geographic coverage area for which the Applicant has been previously authorized. <i>If checked, include in the attachment required by Item 47 or Item 48a the citation(s) of the applicable declaratory ruling(s) by DA/FCC number, the FCC Record citation, if available, release date, and a statement that there has been no change in the foreign ownership of the Applicant since the issuance of its ruling.</i>	
☐ The Applicant: (i) has received a declaratory ruling(s) approving its foreign ownership, but is not able to make the certification specified immediately above; or (ii) is an "affiliate" of a Licensee or Lessee/SubLessee that received a declaratory ruling(s) under Section 1.990(a) of the Commission's Rules, 47 C.F.R. § 1.990(a), and is relying on the affiliate's ruling for purposes of filing this application as permitted under the affiliate's ruling and Section 1.994(b) of the Rules, 47 C.F.R. § 1.994(b). <i>If checked, and if the Applicant received its declaratory ruling(s) on or after August 9, 2013, include in the attachment required by Item 47 or Item 48a the citation(s) of the Applicant's declaratory ruling(s) by DA/FCC number, the FCC Record citation, if available, release date, and a statement that the Applicant is in compliance with the terms and conditions of its ruling and with the Commission's Rules.</i> <i>If checked, and if the Applicant received its declaratory ruling(s) prior to August 9, 2013, include in the attachment required by Item 48a a copy of a petition for declaratory ruling filed contemporaneously with the Commission to extend the Applicant's existing ruling(s) to cover the same radio service(s) and geographic coverage area(s) involved in the application. Alternatively, the Applicant may request a new declaratory ruling pursuant to Section 1.990(a) of the Commission's Rules, 47 C.F.R. § 1.990(a). Petitions for declaratory ruling may be filed electronically on the Internet through the International Bureau Filing System (IBFS) (with a copy attached hereto).</i> <i>If checked, and if the Applicant is relying on an affiliate's ruling for purposes of filing this application, include in the attachment required by Item 47 or Item 48a the citation(s) of the applicable declaratory ruling(s) by DA/FCC number, the FCC Record citation, if available, release date, and a statement that the Applicant is in compliance with the terms and conditions of the named affiliate's ruling and with the Commission's Rules. The Applicant must also include a certification of compliance signed by the named affiliate or other qualified entity as specified in Section 1.994(b) of the Rules, 47 C.F.R. § 1.994(b). See Main Form Instructions for Items 47 or 48a, as applicable.</i>	
☐ The Applicant has not received a declaratory ruling approving its foreign ownership and is requesting a declaratory ruling under Section 1.990(a) of the Commission's Rules, 47 C.F.R. § 1.990(a), in a petition filed contemporaneously with the Commission. <i>If checked, include in the attachment required by Item 47 or 48a a copy of the petition for declaratory ruling filed contemporaneously with the Commission pursuant to Section 1.990(a) of the Commission's Rules, 47 C.F.R. § 1.990(a). Petitions for declaratory ruling may be filed electronically on the Internet through the International Bureau Filing System (IBFS) (with a copy attached hereto).</i>	

Basic Qualification Questions

49) Has the Applicant or any party to this application had any FCC station authorization, license or construction permit revoked or had any application for an initial, modification or renewal of FCC station authorization, license, or construction permit denied by the Commission?	() <u>Yes</u> <u>No</u>
50) Has the Applicant or any party to this application, or any party directly or indirectly controlling the Applicant, ever been convicted of a felony by any state or federal court?	() <u>Yes</u> <u>No</u>
51) Has any court finally adjudged the Applicant or any party directly or indirectly controlling the Applicant guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition?	() <u>Yes</u> <u>No</u>

If the answer to any of 49-51 is 'Y', attach an exhibit explaining the circumstances.

Aeronautical Advisory Station (Unicom) Certification

52) () I certify that the station will be located on property of the airport to be served, and, in cases where the airport does not have a control tower, RCO, or FAA flight service station, that I have notified the owner of the airport and all aviation service organizations located at the airport within ten days prior to application.
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Broadband Radio Service and Educational Broadband Service Cable Cross-Ownership

53a) Will the requested facilities be used to provide multichannel video programming service?	() <u>Yes</u> <u>No</u>
53b) If the answer to question 53a is 'Y', does the Applicant operate, control or have an attributable interest (as defined in Section 27.1202 of the Commission's Rules) in a cable television system whose franchise area is located within the geographic service area of the requested facilities?	() <u>Yes</u> <u>No</u>

Note: If the answer to question 53b is 'Y', attach an exhibit explaining how the Applicant complies with Section 27.1202 of the Commission's Rules or justifying a waiver of that rule. If a waiver of the Commission Rule(s) is being requested, Item 11a must be answered 'Y'.

Broadband Radio Service and Educational Broadband Service (Part 27)

54) (For EBS only) Does the Applicant comply with the programming requirements contained in Section 27.1203 of the Commission's Rules?	() <u>Yes</u> <u>No</u>
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Note: If the answer to item 54 is 'N', attach an exhibit explaining how the Applicant complies with Section 27.1203 of the Commission's Rules or justifying a waiver of that rule. If a waiver of the Commission Rule(s) is being requested, Item 11a must be answered 'Y'.

55) (For BRS and EBS) Does the Applicant comply with Sections 27.50, 27.55, and 27.1221 of the Commission's Rules?	() <u>Yes</u> <u>No</u>
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Note: If the answer to item 55 is 'N', attach an exhibit justifying a waiver of that rule(s). If a waiver of the Commission Rule(s) is being requested, Item 11a must be answered 'Y'.

General Certification Statements

1) The Applicant waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application.
2) The Applicant certifies that grant of this application would not cause the Applicant to be in violation of any pertinent cross-ownership or attribution rules.* *If the Applicant has sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request.
3) The Applicant certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.
4) The Applicant certifies that neither the Applicant nor any other party to the application is subject to a denial of Federal benefits pursuant to §5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under §1.2002(c) of the rules, 47 CFR § 1.2002(c). See §1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification.
5) The Applicant certifies that it either (1) has current required ownership data on file with the Commission, (2) is filing updated ownership data simultaneously with this application, or (3) is not required to file ownership data under the Commission's Rules.
6) The Applicant certifies that the facilities, operations, and transmitters for which this authorization is hereby requested are either: (1) categorically excluded from routine environmental evaluation for RF exposure as set forth in 47 C.F.R. 1.1307(b); or, (2) have been found not to cause human exposure to levels of radiofrequency radiation in excess of the limits specified in 47 C.F.R. 1.1310 and 2.1093; or, (3) are the subject of one or more Environmental Assessments filed with the Commission.
7) The Applicant certifies that it has reviewed the appropriate Commission Rules defining eligibility to hold the requested license(s), and is eligible to hold the requested license(s).
8) The Applicant certifies that it is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.
9) The Applicant certifies that the applicant and all of the related individuals and entities required to be disclosed on this application and FCC Form 602 (FCC Ownership Disclosure Information for the Wireless Telecommunications Services) are not person(s) who have been, for reasons of national security, barred by any agency of the Federal Government from bidding on a contract, participating in an auction, or receiving a grant. This certification applies only to applications for licenses for spectrum that is required by Sections 6103, 6401-6403 of the Middle Class Tax Relief and Job Creation Act of 2012, codified at 47 U.S.C. §§ 309, 1413, 1451-1452, to be assigned by a system of competitive bidding under 47 U.S.C. § 309(j).

Signature

56) Typed or Printed Name of Party Authorized to Sign

First Name: Craig	MI:	Last Name: Okuda	Suffix:
57) Title: Authorized Representative			
Signature: Craig Okuda			58) Date: 09/08/2014
FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID.			
Upon grant of this license application, the Licensee may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in termination of the license. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of license requested in this application.			
WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, §312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, §503).			

Schedule for
Required Notifications for Wireless Services

Approved by OMB
3060 – 0798
See 601 Main Form
Instructions
For public burden estimate

1) Purpose

The purpose of this submission: Enter one purpose only - 1, 2, 3, 4, I, S, D, G or H (S) See below and refer to instructions.

Satisfaction of Buildout/Coverage Requirements (Market-based services and State License Radio Service (SL) only)

- 1 1st buildout/coverage requirements for the referenced system have been met. (List call signs in 2a)
- 2 2nd buildout/coverage requirements for the referenced system have been met. (List call signs in 2a)
- 3 3rd buildout/coverage requirements for the referenced system have been met. (List call signs in 2a)
- 4 4th buildout/coverage requirements for the referenced system have been met. (List call signs in 2a)
- I Tribal lands buildout/coverage requirements for the referenced system have been met. (List call signs in 2a)

Satisfaction of Construction Requirements (Site-licensed services only)

- S Construction requirements for the referenced parameters have been met. (List, as applicable, call signs, locations or paths, frequencies, actual date of construction and mobile units in Item 2.)

Request for Regular Authorization for Facilities Operating under Developmental Authority (Paging services only)

- D Notification to request regular authorization for facilities previously operating under developmental authority. (List, as applicable, call signs, locations, frequencies and actual date of construction in Item 2.)

Extended Implementation (Slow Growth) (Land Mobile Services only)

- G Notification of compliance with yearly station construction commitments for licensees with approved extended implementation plans. (List call signs in Item 2a.)
- H Final notification that construction requirements have been met for the referenced system with approved extended implementation plan. (List, as applicable, call signs, locations, frequencies, actual date of construction and mobile units in Item 2.)

2) Call Signs/Locations or Paths/Frequencies

2a) Call Sign	2b) Location Number	2c) Path Number (Microwave only)	2d) Center (Assigned) or Lower Frequency (MHz)	2e) Upper Frequency (MHz)	2f) Actual Date of Construction (mm/dd/yy)	2g) Number of Operational Mobiles (see instructions)
WNT517		1	017880.00000000		09/03/14	
WNT517		1	017880.00000000		09/03/14	
WNT517		1	017880.00000000		09/03/14	

2a) Call Sign	2b) Location Number	2c) Path Number (Microwave only)	2d) Center (Assigned) or Lower Frequency (MHz)	2e) Upper Frequency (MHz)	2f) Actual Date of Construction (mm/dd/yy)	2g) Number of Operational Mobiles (see instructions)
WNT517		1	017880.00000000		09/03/14	
WNT517		1	017880.00000000		09/03/14	

3) Certification

By signing the Main Form, the applicant certifies, as set forth in 47 C.F.R. §1.946(c) of the Commission's rules, that it has commenced service or operations by the expiration of its construction period, or met its coverage or substantial service obligations by the expiration of its coverage period.